Superconductivity in twisted graphene NbSe$_2$ heterostructures

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We study the low-energy electronic structure of heterostructures formed by one sheet of graphene placed on a monolayer of NbSe$_2$. We build a continuous low-energy effective model that takes into account the presence of a twist angle between graphene and NbSe$_2$, and of spin-orbit coupling and superconducting pairing in NbSe$_2$. We obtain the parameters entering the continuous model via ab initio calculations. We show that despite the large mismatch between the graphene’s and NbSe$_2$’s lattice constants, due to the large size of the NbSe$_2$’s Fermi pockets, there is a large range of values of twist angles for which a superconducting pairing can be induced into the graphene layer. In addition, we show that the superconducting gap induced into the graphene is extremely robust to an external in-plane magnetic field. Our results show that the size of the induced superconducting gap, and its robustness against in-plane magnetic fields, can be significantly tuned by varying the twist angle.

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I. INTRODUCTION

Transition metal dichalcogenides (TMDs) are extremely interesting materials due to their unique electronic properties [1–11] and the fact that in recent years experimentalists have been able to isolate and probe TMD films only few atoms thick, down to the monolayer limit. Some TMDs monolayers, like MoSe$_2$ and MoS$_2$, are insulators with gaps of the order of 1.5–2 eV. Other TMDs monolayers, such as NbSe$_2$, NbS$_2$, TaSe$_2$, and TaS$_2$, are metallic at room temperature and superconducting at low temperature. One feature that all TMDs have in common is a strong spin-orbit coupling (SOC). In monolayer TMDs, the strongest effect of the SOC is a spin splitting of the conduction and valence bands around the $\mathbf{K}$, and $\mathbf{K}'$, points of the Brillouin zone (BZ) [12–14]. For the TMDs that are superconducting at low temperature, such a spin splitting causes the superconducting pairing to be of the Ising type [9] and therefore extremely robust to external in-plane magnetic fields [15–18]. The ability of metallic TMDs to exhibit superconductivity even in the limit in which they are only one atom thick, and the robustness of such a superconducting state to external magnetic fields make them very interesting systems both from a fundamental point of view and for possible applications.

Recent advances in fabrication techniques have made possible the realization of van der Waals (vdW) heterostructures obtained by stacking crystals that are only few atoms thick [19,20]. In these structures, the different layers are held together by vdW forces. As a consequence, the crystals that can be used to create the structures, and their stacking configuration, are not limited to the configurations allowed by chemical bonds. This makes possible the realization of systems with unique properties such as graphene–topological-insulator heterostructures in which graphene has a tunable SOC depending on the stacking configuration [21–25].

In graphene, the conduction and valence bands touch at the corners ($\mathbf{K}$ and $\mathbf{K}'$ points) of the hexagonal BZ, and around these points the electrons behave as massless Dirac Fermions [26,27]. This fact makes graphene an ideal semimetal in which the polarity of the carriers can easily be tuned via external gates. In addition, graphene has a very high electron mobility due to its very low concentration of defects and the fact that electron-phonon scattering processes do not contribute significantly to the resistivity for temperatures as high as room temperature [28–30]. All these features make graphene an ideal system to probe, via tunneling setups, other materials and to realize vdW heterostructures with tunable properties. In particular, the fact, that the low-energy states of graphene, in momentum space, are located just at the $\mathbf{K}$ points of its BZ in vdW structures implies that by simply varying the twist angle, graphene can be used as a momentum selective probe of the electronic structure, and properties, of the substrate. The work that we present below is an example of such momentum-selective probing capability of graphene. In monolayer NbSe$_2$, the Fermi surface (FS) is formed by a pocket around the $\mathbf{\Gamma}$ point, and pockets around the $\mathbf{K}$ and $\mathbf{K}'$ points. Contrary to bulk NbSe$_2$, in monolayer NbSe$_2$ there is no seleniumlike FS pocket around the $\mathbf{\Gamma}$ point. As a consequence monolayer NbSe$_2$ is expected to be a single-gap superconductor with the same gap at the $\mathbf{\Gamma}$ pocket as at the $\mathbf{K}$ pockets [31]. However, the $\mathbf{\Gamma}$ and $\mathbf{K}$ pockets differ in the magnitude, and $\mathbf{k}$ dependence around the pocket, of the spin-splitting induced by the SOC. The splitting is much larger for the $\mathbf{K}$ pockets and therefore the superconducting gap for these pockets is much more robust to external in-plane magnetic fields than for the $\mathbf{\Gamma}$ pocket. As we show below, a graphene-NbSe$_2$ heterostructure allows us to probe separately NbSe$_2$’s states around the $\mathbf{\Gamma}$ point, and $\mathbf{K}$ point simply by tuning the relative twist angle between graphene and NbSe$_2$ and therefore to study the difference between pockets of the interplay between SOC and superconducting pairing.

In this paper, we study vdW heterostructures formed by graphene and monolayer NbSe$_2$. Our results show that despite the large mismatch between the lattice constants of graphene
and NbSe$_2$ in these structures, a large superconducting pairing can be induced into the graphene layer. In addition, we show how such pairing depends, both in nature and structure, on the stacking configuration. Our results are relevant also to other graphene-TMD heterostructures such as the ones that can be stacked configuration. Our results are relevant also to other graphene-NbSe$_2$, TaSe$_2$, or TaS$_2$ that have also been shown to be superconducting at low temperature [18,32–34] and show how graphene can be used to probe in these systems the momentum-dependent superconducting gap and in particular its multiband structure.

II. METHOD

In graphene, the carbon atoms are arranged in a 2D hexagonal structure formed by two triangular sublattices, $A$ and $B$, with lattice constant $a_g = \sqrt{3}a = 2.46$ Å, with $a = 1.42$ Å the carbon-carbon atomic distance. The 2D structure of NbSe$_2$ is also formed by two triangular sublattices. One of the sublattices is formed by the Nb atoms, the other by two Se atoms symmetrically displaced by a distance $u = 1.679$ Å above and below the plane formed by the Nb atoms. The lattice constant of NbSe$_2$ is $a_{\text{se}} = 3.48$ Å [13]. Figure 1 shows the BZ of graphene and NbSe$_2$. In this figure and in the remainder, we take $k_z$ to be in the direction connecting the valley $K$ with its time-reversed partner $K'$. Figure 1(a) shows the relative orientation of the graphene’s and NbSe$_2$’s BZs for the case when the twist angle $\theta$ is zero and Fig. 1(b) for a case when $\theta \neq 0$.

To obtain the electronic structure of the graphene-NbSe$_2$ structure for a generic twist angle and in the presence of superconducting pairing in the NbSe$_2$, we first need to estimate the charge transfer between the graphene layer and NbSe$_2$, and the strength of the tunneling $t$ between graphene and the NbSe$_2$ monolayer. To this effect, we first obtain via ab initio the electronic structure of a commensurate graphene-NbSe$_2$ structure. Let $a_{1g} = a_g [\cos(\pi/3 - \theta)x - \sin(\pi/3 - \theta)y]$, $a_{2g} = a_g [\cos(\pi/3 + \theta)x + \sin(\pi/3 + \theta)y]$, $a_{2s} = a_g [\cos(\pi/3 - \theta)x - \sin(\pi/3 - \theta)y]$, the primitive vectors for graphene, with $x$ and $y$ the unit vectors in the $x$ and $y$ directions, respectively. In a commensurate stacking configuration, the primitive vectors satisfy the equation

\[m_1a_{1s} + m_2a_{2s} = n_1a_{1g} + n_2a_{2g},\]

where $(m_1, m_2, n_1, n_2)$ are four integers constrained by the following second-order Diophantine equation:

\[(m_1^2 + m_2^2 - m_1m_2) = a_g^2(n_1^2 + n_2^2 - n_1n_2).\]

Given that the lattice constant of graphene and NbSe are highly incommensurate with respect to each other, Eq. (1) [or, equivalently, Eq. (2)] can only be satisfied for structures with primitive cells comprising a very large number of atoms ($\sim 1000$). It is computationally extremely expensive to study structures with such large primitive cells using ab initio methods. For this reason, we allow for a few percent strain of the graphene’s lattice so that Eq. (1) [or, equivalently, Eq. (2)] can be satisfied for structures with primitive cells comprising 100 atoms or less. In general, the relative strain of the graphene’s and NbSe$_2$’s lattices will depend on the specific structure considered. We did not perform an energy minimization analysis and chose to strain graphene rather than NbSe$_2$ for convenience. This is justified considering that the amount of charge transfer between the graphene layer and NbSe$_2$ and the magnitude of the graphene-NbSe$_2$ tunneling strength, are not expected to be affected by a small change of the graphene or NbSe$_2$ lattice constant.

The ab initio calculations were performed using the Quantum ESPRESSO package [35,36]. We use full-relativistic ultra-soft pseudopotentials with the wave-function kinetic energy cutoff of 50 Ry. We adopted the Perdew-Burke-Ernzerhof (PBE) [37] as the exchange and correlation functional. We set the vacuum thickness equal to 25 Å to isolate the heterostructure and avoid the interactions between the periodic layers along the direction, $(z)$, perpendicular to the layers. The interlayer distance between graphene and NbSe$_2$ was obtained by full relaxation in the $z$ direction. The total energy was calculated by using a $18 \times 18 \times 1$ Monkhorst-Pack scheme grid for the $k$ points.

After having obtained the amount of charge transfer and the strength of the tunneling between the graphene layer and NbSe$_2$ via ab initio, we use a continuum model [23,38–40] to obtain the low-energy spectrum of the graphene-NbSe$_2$ heterostructure for different values of the twist angle $\theta$. In general, the Hamiltonian $\hat{H}$ describing the graphene-NbSe$_2$ heterostructure can be written as $\hat{H} = \hat{H}_g + \hat{H}_s + \hat{H}_t$, where $\hat{H}_g$ is the Hamiltonian for graphene, $\hat{H}_s$ is the Hamiltonian for NbSe$_2$, and $\hat{H}_t$ is the term describing tunneling processes between graphene and NbSe$_2$.

In graphene, the low-energy states are located at the $K$ and $K'$ points of the BZ: $K = (4\pi/(3a_g), 0), K' = (-4\pi/(3a_g), 0)$ (and equivalent points connected by reciprocal lattice wave vectors). Close the $K$ and $K'$ points in graphene the electrons, at low energies, are well described as massless Dirac fermions with...
Hamiltonians $\hat{H}_K = \sum_{k, \tau} c_{K, k, \tau}^\dagger c_{K, k, \tau} H_{K, k, \tau}$, $\hat{H}_{K'} = \sum_{k, \tau, \sigma} c_{K', k, \tau, \sigma}^\dagger c_{K', k, \tau, \sigma} H_{K', k, \tau, \sigma}$, where

$$H_{K, k} = \hbar v_F k \cdot \sigma \sigma_0 - \mu \tau \tau_0 \sigma_0,$$

$$H_{K', k} = -\hbar v_F k \cdot \tau^s \sigma_0 - \mu \tau \tau_0 \sigma_0,$$

$c_{p, \sigma}^\dagger$ (or $c_{p, \tau}$) is the creation (annihilation) operator for an electron, in the graphene sheet, with spin $\sigma$ and two-dimensional momentum $\hbar p = \hbar (p_x, p_y)$, $k$ is a wave vector measured from $K$ ($K'$), $v_F = 10^6$ m/s is graphene’s Fermi velocity, $\mu \sigma$ is graphene’s chemical potential, and $\tau_i, \sigma_i (i = 0, 1, 2, 3)$ are the $2 \times 2$ Pauli matrices in sublattice and spin space, respectively. As a consequence, when considering the states of graphene close to the $K$ ($K'$) point, we have $H_{K} = H_{K'} = (H_{K'} = H_{K})$.

In NbSe$_2$, the low-energy states are located close to the $\Gamma$, $K$, and $K'$ points of the BZ: $K = (4\pi/(3a_s), 0), K' = (-4\pi/(3a_s), 0)$ (and equivalent points connected by reciprocal lattice wave vectors). Close to the $\Gamma$ point, the effective low-energy Hamiltonian for NbSe$_2$ takes the form $H_{\Gamma, s} = \sum_{k \sigma} d_{K, \sigma}^s H_{K, k} d_{K, \sigma}$, where $d_{K, \sigma}^s (d_{K, \sigma})$ is the creation (annihilation) operator for an electron in NbSe$_2$ with momentum $k$ and spin $\sigma$, and $H_{\Gamma, s}$ is the effective low-energy Hamiltonian matrix for the conduction band of NbSe$_2$. By fitting the ab initio results, we obtain

$$H_{\Gamma, s} = \epsilon_0(k) \sigma_0 + \lambda(k) \sigma_z,$$

where

$$\epsilon_0(k) = \eta_0 + \eta_2 T k_x k_x,$$

$$\lambda(k) = (k_x^2 + k_y^2) \cos(3\theta) + i(k_x^3 - k_y^3) \sin(3\theta),$$

$k_x = k_x \pm ik_y$, and $\eta_0, \eta_2, \lambda_0, l_0, l_1$ are constants:

$$\eta_0 = 0.5641 \text{ eV},$$

$$\eta_2 = -7.0640 \text{ eV} \left[ a_s / (2\pi) \right]^3,$$

$$\lambda = 0.5085 \text{ eV} \left[ a_s / (2\pi) \right]^3.$$

Close to the corners of the BZ of NbSe$_2$, the $K$ and $K'$ points, for NbSe$_2$, we have $H_{K'} = \sum_{k, \sigma} d_{K', \sigma}^d H_{K, k} d_{K', \sigma}$, $H_{K'} = \sum_{k, \sigma} d_{K', \sigma}^d H_{K', k} d_{K', \sigma}$, where $k$ is now a wave vector measured from the $K$, $K'$, $K'$, $K$ points, respectively, and

$$H_{K, k} = \epsilon_0(k) \sigma_0 + \epsilon_3(k) \sigma_0 + \lambda(k) \sigma_z,$$

$$H_{K', k} = \epsilon_0(k) \sigma_0 - \epsilon_3(k) \sigma_0 - \lambda(k) \sigma_z$$

where

$$\epsilon_0(k) = \eta_0 + \eta_2 T k_x k_x,$$

$$\epsilon_3(k) = \eta_3 \left[ k_x^3 + k_y^3 \right] \cos(3\theta) + i(k_x^3 - k_y^3) \sin(3\theta),$$

$$\lambda(k) = l_0 + l_2 k_x k_x,$$

and $\eta_0, \eta_2, \eta_3, l_0, l_2$, are constants that we extracted from the ab initio results for an isolated monolayer of NbSe$_2$:

$$\eta_0 = 0.4526 \text{ eV},$$

$$\eta_2 = -9.0940 \text{ eV} \left[ a_s / (2\pi) \right]^3,$$

$$\eta_3 = 3.07 \text{ eV} \left[ a_s / (2\pi) \right]^3,$$

$$l_0 = 0.0707 \text{ eV},$$

$$l_2 = -0.33 \text{ eV} \left[ a_s / (2\pi) \right]^3.$$

Let $p_\sigma, p_\lambda$ be the wave vector of an electron in graphene, NbSe$_2$, respectively. In the remainder, we consider only momentum and spin-conserving tunneling processes. Conservation of crystal momentum requires

$$p_\sigma + G_\sigma = p_\sigma + G_\sigma,$$

where $G_\sigma$ and $G_\sigma$ are reciprocal lattice vectors for graphene and NbSe$_2$, respectively. For the purpose of developing a continuum low-energy model for a graphene-NbSe$_2$ heterostructure, it is more convenient to consider the twist angle $\theta$ as relative twist between BZs, as shown in Fig. 1. For $\theta = 0$, the $K$ point of graphene and NbSe$_2$ BZs are on the same axis. Depending on the value of $\theta$, we can have two situations: the low-energy states of graphene, in momentum space, are close to NbSe$_2$’s Fermi pockets around the $K$ and $K'$ points, or, considering NbSe$_2$’s extended BZ, to NbSe$_2$’s Fermi pocket around the $\Gamma$ point. In the first case, the conservation of the crystal momentum, Eq. (12), takes the form

$$k_s = k_k + (K_s - K_k) = (G_s - G_k),$$

$$k_s = k_k + (K_s - K_k) = (G_s - G_k),$$

and similarly for momenta around $K'$.

The conservation of the crystal momentum implies that the tunneling term takes the form

$$\hat{H}_t = \sum_{G, G'} \hat{G}_{G, t, \sigma} \hat{G}_{G, \sigma} e^{-iG, \sigma d_{G, t, \sigma} \cdot d_{G, G, \sigma} + H.c.,}$$

where $d_{\tau}$ is the position of the carbon atom on sublattice $\tau$ within the primitive cell of the graphene sheet. For sublattice $A$, $d_{\tau} = (0, 0)$, for sublattice $B$, $d_{\tau} = (a_0, 0)$, with $a_0$ the carbon-carbon distance.

Considering that, as shown in Table I, the separation $d = 3.57$ Å between the graphene sheet and NbSe$_2$ is much larger than the interatomic distance in each material, in momentum space, the tunneling amplitude $t(p)$ decays very rapidly as a function of $p$ [40] and so in Eq. (15) we can just keep the terms for which $(p_x + G_x)$ is smallest, i.e., restrict the sum to $G_x = 0$ and the two $G_y$ that map $K$ ($K'$) to the two other equivalent points in the BZ and set $t = t(K)$. The sum over $G_y$ is restricted by the fact that we only need to keep terms for which the graphene and NbSe$_2$ states have energy separated by an amount of the order of $t$. 

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Let \( \mathbf{q} = \mathbf{k}_s - \mathbf{k}_s \). The above considerations imply that, for the case when the \( \mathbf{k}_s \) and \( \mathbf{k}_s \) are close, we only need to keep the terms for which \( |\mathbf{q}| = |\mathbf{k}_s - \mathbf{k}_i| \), given that these are the terms for which \( < \mathbf{p}_s + \mathbf{G}_s > \) that satisfies Eq. (13) is smallest. Due to the \( C_{6v} \) symmetry of the hexagonal structure, there are three equivalent \( K \) points, \( \mathbf{K}_1, \mathbf{K}_2, \mathbf{K}_3 \), and \( \mathbf{K}' \) points, i.e., two reciprocal lattice wave vectors \( \mathbf{G} \) connecting equivalent corners of the BZ. There are three vectors \( \mathbf{q}_{\mathbf{K}} = \{\mathbf{k} - \mathbf{k}_i\} \) such that \( |\mathbf{q}| = |\mathbf{k}_s - \mathbf{k}_i| \). \( \mathbf{q}_{\mathbf{K}} \) is obtained by taking \( \mathbf{G}_{3\mathbf{K}} = \mathbf{G}_{3\mathbf{K}} \equiv 4 \pi / (\sqrt{3} \mathbf{a}_0) \cos (\pi / 6 + \theta), \sin (\pi / 6 + \theta) \). As before, considering the \( C_{6v} \) symmetry, there are three vectors \( \mathbf{q}_{\mathbf{K}} \) with this magnitude: \( \mathbf{q}_{\mathbf{K}} \) obtained by taking \( \mathbf{G}_{3\mathbf{K}} = \mathbf{G}_{3\mathbf{K}} \equiv 4 \pi / (\sqrt{3} \mathbf{a}_0) \cos (\pi / 6 + \theta), \sin (\pi / 6 + \theta) \). \( \mathbf{q}_{\mathbf{K}} \) obtained by taking \( \mathbf{G}_{6\mathbf{K}} = \mathbf{G}_{6\mathbf{K}} \equiv 4 \pi / (\sqrt{3} \mathbf{a}_0) \cos (\pi / 2 + \theta), \sin (\pi / 2 + \theta) \), and \( \mathbf{q}_{\mathbf{K}} \) obtained by taking \( \mathbf{G}_{3\mathbf{K}} = \mathbf{G}_{3\mathbf{K}} \equiv 4 \pi / (\sqrt{3} \mathbf{a}_0) \cos (\pi / 2 + \theta), \sin (\pi / 2 + \theta) \). By retaining only the tunneling terms for which \( < \mathbf{p}_s + \mathbf{G}_s > \) is largest, when considering the graphene states close to the \( \mathbf{k}_s \) point so that \( E_h = E_{h,k} \), we can rewrite \( \mathcal{H} \) as

\[
\mathcal{H} = \sum_{i=1}^{3} \mathcal{T}_{\mathbf{K}_i,i}^\dagger \mathcal{T}_{\mathbf{K}_i,i} \mathbf{d}_\mathbf{k}_i + \text{H.c.,}
\]

with

\[
\mathcal{T}_{\mathbf{K}_i,i} = \begin{bmatrix}
1 & 0 & t \\
0 & 0 & 0 \\
t & 0 & 1
\end{bmatrix}
\]

TABLE I. Parameters for graphene-NbSe\(_2\) commensurate structures.

| TMD  | \((m_1, m_2, n_1, n_2)\) | \(a_x(\text{Å})\) | \(a_y(\text{Å})\) | \(\%\delta a_x\) | \(\theta\) | \(|A|(\text{Å})\) | \(d(\text{Å})\) | \(\mu_G(eV)\) |
|------|-------------------------|------------------|------------------|-----------------|--------|----------------|----------------|--------------|
| NbSe\(_2\) | \((-2, 1, -4, -3)\) | 3.48 [13] | 2.55 | 3.7\% | -65.2\(^0\) | 9.2 | 3.57 | -0.40 |
| NbSe\(_2\) | \((-1, 2, 1, 4)\) | 3.48 [13] | 2.55 | 3.7\% | 33.0\(^0\) | 9.2 | 3.57 | -0.40 |

\[ T_{\mathbf{K}_s,2}^\dagger = \begin{bmatrix}
t & 0 & t e^{-i G_{\mathbf{k}} \cdot \mathbf{d}_\mathbf{a}} & 0 \\
0 & t & 0 & t e^{-i G_{\mathbf{k}} \cdot \mathbf{d}_\mathbf{a}} \\
0 & 0 & 0 & 0
\end{bmatrix} \]

\[ T_{\mathbf{K}_s,3}^\dagger = \begin{bmatrix}
t & 0 & t e^{-i G_{\mathbf{k}} \cdot \mathbf{d}_\mathbf{a}} & 0 \\
0 & t & 0 & t e^{-i G_{\mathbf{k}} \cdot \mathbf{d}_\mathbf{a}} \\
0 & 0 & 0 & 0
\end{bmatrix} \]

In the remainder, supported by DFT results, we take \( t \) to be the same both when the graphene’s low-energy states tunnel into states around the \( \mathbf{K} \) \((\mathbf{K}')\) point and the \( \Gamma \) point of NbSe\(_2\). Let \( \gamma \equiv \hbar \nu_{\mathbf{k}} / |q| \). When \( \gamma \ll 1 \), we can develop a perturbative approach in which \( \gamma \) is the small parameter \([40, 41]\): terms of order \( \gamma^n \) correspond n-tuple tunneling processes. For our situation, as we show in the following section, \( \gamma \ll 1 \) and so we can retain just the lowest order terms in \( \gamma \).

It is convenient to define the following spinors:

\[ C^\dagger_{\mathbf{k}} = (c^\dagger_{\mathbf{k},\mathbf{k}^\dagger}, c^\dagger_{\mathbf{k},\mathbf{q}_1}, c^\dagger_{\mathbf{k},\mathbf{q}_2}, c^\dagger_{\mathbf{k},\mathbf{q}_3}) \]

\[ D^\dagger_{\mathbf{k}} = (d^\dagger_{\mathbf{k}_{1,\mathbf{q}_1}}, d^\dagger_{\mathbf{k}_{2,\mathbf{q}_1}}, d^\dagger_{\mathbf{k}_{3,\mathbf{q}_1}}, d^\dagger_{\mathbf{k}_{4,\mathbf{q}_1}}, d^\dagger_{\mathbf{k}_{5,\mathbf{q}_1}}, d^\dagger_{\mathbf{k}_{6,\mathbf{q}_1}}) \]

\[ \Psi^\dagger_{\mathbf{k},\mathbf{q},\mathbf{K}_s} = (C^\dagger_{\mathbf{k},\mathbf{k}_{1,\mathbf{q}_1}}, C^\dagger_{\mathbf{k},\mathbf{q}_{1,\mathbf{q}_2}}, C^\dagger_{\mathbf{k},\mathbf{q}_{2,\mathbf{q}_2}}, C^\dagger_{\mathbf{k},\mathbf{q}_{3,\mathbf{q}_2}}, C^\dagger_{\mathbf{k},\mathbf{q}_{4,\mathbf{q}_2}}, C^\dagger_{\mathbf{k},\mathbf{q}_{5,\mathbf{q}_2}}, C^\dagger_{\mathbf{k},\mathbf{q}_{6,\mathbf{q}_2}}) \]

For the case when the graphene’s FS overlaps with the NbSe\(_2\)’s pocket close to the \( \mathbf{K} \) point, we can then express the Hamiltonian for the graphene-NbSe\(_2\) system as \( H_{\mathbf{k},\mathbf{k}} = \sum_{\mathbf{k}} \Psi^\dagger_{\mathbf{k},\mathbf{k},\mathbf{k}} H_{\mathbf{k},\mathbf{k}}(\mathbf{k}) \Psi_{\mathbf{k},\mathbf{k},\mathbf{k}} \), with

\[
H_{\mathbf{k},\mathbf{k}}(\mathbf{k}) = \begin{bmatrix}
H_{\mathbf{k},\mathbf{k}}(\mathbf{k}) & T_{\mathbf{K}_s,2} \\
T_{\mathbf{K}_s,2} & H_{\mathbf{k} + \mathbf{G}_{\mathbf{k}}}(\mathbf{k} + \mathbf{q}_{1\mathbf{K}})
\end{bmatrix}
\]

\[
H_{\mathbf{k} + \mathbf{G}_{\mathbf{k}}}(\mathbf{k} + \mathbf{q}_{1\mathbf{K}}) = \begin{bmatrix}
H_{\mathbf{k},\mathbf{k}}(\mathbf{k} + \mathbf{q}_{2\mathbf{K}}) & 0 \\
0 & H_{\mathbf{k},\mathbf{k}}^\dagger(\mathbf{k} + \mathbf{q}_{3\mathbf{K}})
\end{bmatrix}
\]

For the case when we consider graphene states close to the \( \mathbf{K}' \) point, so that \( H_{\mathbf{k}} = H_{\mathbf{k}'} \), the expression of the Hamiltonian matrix \( H_{\mathbf{k},\mathbf{k}'}(\mathbf{k}) \) for the graphene-NbSe\(_2\) system, within the approximations described above, can be obtained from Eq. (20) by doing the following substitutions: \( \mathbf{K}_s \rightarrow \mathbf{K}'_s \), \( \mathbf{G}_{\mathbf{q}_i} \rightarrow -\mathbf{G}_{\mathbf{q}_i} \), \( \mathbf{G}_{\mathbf{q}_i} \rightarrow -\mathbf{G}_{\mathbf{q}_i} \), \( \mathbf{G}_{\mathbf{q}_i} \rightarrow -\mathbf{G}_{\mathbf{q}_i} \), \( \mathbf{q}_{1\mathbf{K}} \rightarrow -\mathbf{q}_{1\mathbf{K}} \), and \( \mathbf{q}_{1\mathbf{K}} \rightarrow -\mathbf{q}_{1\mathbf{K}} \).
Including the superconducting pairing, the effective low-energy Hamiltonian for NbSe₂ for states close to the Γ point takes the form
\[
\hat{H}_{\Gamma}^{(SC)} = \sum_k \Psi^\dagger_k \hat{H}_{\Gamma}^{(SC)} \Psi_k,
\]
where \(\Psi^\dagger_k\) is the Nambu spinor \(\Psi^\dagger_k = (D^+_k, D^-_k)\),
\[
\hat{H}_{\Gamma}^{(SC)} = \begin{bmatrix} H_{\Gamma}^{(SC)}(k) & i\Delta_{\Gamma}\sigma_2 \\ -i\Delta_{\Gamma}\sigma_2 & -H_{\Gamma}^{(SC)}(-k) \end{bmatrix},
\]
(22)
\(H_{\Gamma}^{(SC)}(k)\) is given by Eq. (5), and \(\Delta_{\Gamma}\) is the size of the superconducting gap of NbSe₂ close to the Γ point.

For states close to \(K\), including the superconducting pairing, the Hamiltonian for NbSe₂ becomes
\[
\hat{H}_K^{(SC)} = \sum_k \Psi^\dagger_k \hat{H}_K^{(SC)} \Psi_k,
\]
(23)
where now \(k (-k)\) is understood to be measured from \(K\) (\(K'\)), and
\[
\hat{H}_{K}^{(SC)} = \begin{bmatrix} H_{KK}(k) & i\Delta_K\sigma_2 \\ -i\Delta_K\sigma_2 & -H_{K}^{(SC)}(-k) \end{bmatrix},
\]
(24)
\(H_{KK}(k), H_{K}^{(SC)}(k)\) are given by Eq. (8).

For monolayer NbSe₂, the superconducting gap is expected to have the same value, \(\Delta\), on the Γ and K pocket. In the remainder, we conservatively assume \(\Delta = 0.5 \text{ meV} [31]\).

The Hamiltonian for the graphene-NbSe₂ system includes the superconducting pairing in NbSe₂. For the case when \(K\) is close to \(K\), the Hamiltonian becomes
\[
\hat{H}_{KK}^{(SC)} = \sum_{\ell} \Psi^\dagger_{\ell K} H_{KK}^{(SC)}(k) \Psi_{\ell K}, \text{with } \Psi_{\ell K} = \begin{bmatrix} \langle \Psi^\dagger_{\ell K}, \Psi_{\ell K'} \rangle \end{bmatrix},
\]
\[
H_{KK}^{(SC)}(k) = \begin{bmatrix} H_{KK}(k) & \Delta_K \Lambda \\ \Delta_K \Lambda & -H_{K}^{(SC)}(-k) \end{bmatrix},
\]
(25)
and
\[
\Lambda = \begin{bmatrix} 0_{4x4} & 0_{4x2} & 0_{4x2} & 0_{4x2} \\ 0_{2x4} & i\sigma_2 & 0_{2x2} & 0_{2x2} \\ 0_{2x4} & 0_{2x2} & i\sigma_2 & 0_{2x2} \\ 0_{2x4} & 0_{2x2} & 0_{2x2} & i\sigma_2 \end{bmatrix},
\]
(26)
where \(0_{m \times n}\) is the zero matrix with \(m\) rows and \(n\) columns.

Similarly, for the case when the low-energy states of graphene are close to the Γ point of the extended BZ of NbSe₂, the Hamiltonian for the system becomes
\[
\hat{H}_{K\Gamma}^{(SC)} = \sum_{\ell} \Psi^\dagger_{\ell K\Gamma} H_{K\Gamma}^{(SC)}(k) \Psi_{\ell K\Gamma}, \text{with } \Psi_{\ell K\Gamma} = \begin{bmatrix} \langle \Psi^\dagger_{\ell K\Gamma}, \Psi_{\ell K\Gamma'} \rangle \end{bmatrix},
\]
\[
H_{K\Gamma}^{(SC)}(k) = \begin{bmatrix} H_{K\Gamma}(k) & \Delta_{\Gamma} \Lambda \\ \Delta_{\Gamma} \Lambda & -H_{K}^{(SC)}(-k) \end{bmatrix},
\]
(27)
III. RESULTS

The large lattice mismatch between graphene and NbSe₂ would suggest that even in the absence of any twist angle, the electronic states of the two systems would not hybridize. However, this does not take into account the large size of NbSe₂’s Fermi pockets. As shown in Fig. 2, there is a large set of values of \(\theta\) for which the Dirac point of graphene intersects the NbSe₂’s FS either around the K points or around the Γ point in the repeated zone scheme. For these points, the electronic states of graphene and NbSe₂ are expected to hybridize.

From the results shown in Fig. 2, we see that for small values of \(\theta\), we can expect that the graphene’s low-energy states close to the Dirac point will hybridize with the NbSe₂’s states close to the K point. For values of \(\theta\) close to 30°, we see that graphene’s states will hybridize with NbSe₂’s states close to the Γ point. For this reason, to estimate the charge transfer and the strength of the graphene-NbSe₂ tunneling in the two situations, we performed \textit{ab initio} calculations for a commensurate heterostructure with \(\theta = -65.2°\), and one with \(\theta = 33.0°\). The parameters identifying these commensurate structures are given in Table I and the corresponding primitive cells are shown in Fig. 3.

The \textit{ab initio} calculations return the band structure shown in Figs. 4 and 5. In these figures, the dashed blue lines show the bands of isolated graphene. The left panels show the results obtained without including spin-orbit effects and the right panels the results obtained taking into account the

FIG. 3. Commensurate graphene-NbSe₂ structure corresponding to the parameters listed in Table I. (a) is the configuration for \(\theta = -65.2°\). (b) is the configuration for \(\theta = 33.0°\). The red (blue) spheres show Nb (Se) atoms, the graphene lattice is shown in yellow.
presence of SOC. Panels (c) and (d) show an enlargement at low energies of the results shown in panels (a) and (b).

The results of Figs. 4(b) and 5(b) clearly show that there is a significant charge transfer between graphene and monolayer NbSe2, resulting in hole doping of the graphene sheet corresponding to a Fermi energy of about $-0.4$ eV. They also show that the amount of charge transfer does not depend on the value of the twist angle $\theta$. Considering the finite extension of the graphene’s FS due to the charge transfer shown in Figs. 4 and 5 between NbSe2 and graphene, we obtain that there is a significant range of values of $\theta$ for which the graphene’s FS intersects the NbSe2 FS, and for which we can then expect non-negligible hybridization of the graphene and NbSe2 states. This is shown in Fig. 2 in which the red circles delimit the boundaries of the graphene’s FS as $\theta$ is varied. Table II shows the range of values of $\theta$ extracted from Fig. 2 for which the graphene’s FS is expected to intersect either one of the NbSe2’s FS pockets around the $K$ ($K'$) point, or around the $\Gamma$ point. In this table, $\theta_n(K)$ [$\theta_n(\Gamma)$] is the angle in the middle of the range $2\theta_n(K)$ [$2\theta_n(\Gamma)$] of angles for which the graphene’s FS intersects the NbSe2’s FS.

The ab initio results allow us also to estimate the strength of the tunneling between graphene and NbSe2. In Figs. 4(c), 4(d), 5(c), and 5(d), we can see the avoided crossings close to the Fermi energy between the graphene and NbSe2 bands. The amplitude of such crossings provides an estimate of the tunneling strength $t$ between the graphene sheet and the monolayer of NbSe2. We find that both for the case when the graphene’s FS intersects the NbSe2’s pocket around the $K$ point and when it intersects the NbSe2’s FS pocket around the $\Gamma$ point, $t \approx 20$ meV and so in the remainder we set $t = 20$ meV.

We first consider the case when graphene’s FS intersects the FS pocket of NbSe2 close to the $K$ point, i.e., $-7.2^\circ < \theta < 7.2^\circ$, and $\Delta = 0$. Figure 6 shows the results for the FS of the hybridized system in the limit when no superconducting pairing is present in NbSe2: the left (right) column shows the FS around the $K$ ($K'$) of graphene. Figures 6(a) and 6(b) show the relative position in momentum space of graphene’s FS and NbSe2’s FS for the case when $\theta = 0$ and $t = 0$, taking into account the “folding” of the NbSe2’s FS pockets due to the fact that the three $K$ ($K'$) corners of the BZ are equivalent. The graphene FS is shown in red and the spin-splitted NbSe2’s FS in blue and green. We use this color convention throughout this paper. A zoom closer to the graphene’s $K$ point, Figs. 6(c) and 6(d), clearly shows the overlap of the graphene’s FS with the NbSe2’s FS pockets. When $t \neq 0$, the graphene and NbSe2 states hybridize, giving rise to the reconstructed FSs shown in Figs. 6(e) and 6(f). Figures 6(e) and 6(f) show that the graphene’s FS, due to the hybridization with NbSe2, becomes spin split.

Figure 7 shows the results for the case when $\theta = 2^\circ$, left column, and $\theta = 6^\circ$, right columns. For these values of the twist angle, the low-energy states of graphene are still close to the low-energy states of NbSe2 located around NbSe2’s $K$ points. For $\theta = 2^\circ$, the graphene and NbSe2 low-energy states

\begin{table}[h]
\centering
\begin{tabular}{|c|c|c|c|c|}
\hline
TMD (1L) & $\theta_n(K)$ & $\delta \theta(K)$ & $\theta_n(\Gamma)$ & $\delta \theta(\Gamma)$ \\
\hline
NbSe2 & $0^\circ + n \times 60^\circ$ & $7.2^\circ$ & $21.9^\circ + n \times 60^\circ$ & $3.9^\circ$ \\
 & $37.5^\circ + n \times 60^\circ$ & $3.9^\circ$ & & \\
\hline
\end{tabular}
\caption{Values of the twist angle $\theta$ for which the graphene’s FS overlaps with NbSe2’s FS pocket around the $K$ point or $\Gamma$ point. For $\theta_n(K) - 2\theta_n(K) \leq \theta \leq \theta_n(K) + 2\theta_n(K)$, $\theta_n(\Gamma) - 2\theta_n(\Gamma) \leq \theta \leq \theta_n(\Gamma) + 2\theta_n(\Gamma)$, graphene’s FS overlaps NbSe2’s $K$ pocket, $\Gamma$ pocket, respectively, $n$ is an integer between 0 and 5.}
\end{table}
are still close enough (in momentum and energy) that, for $t = 20\text{ meV}$, the hybridization is strong enough to significantly modify the FS of the combined system, as shown in Fig. 7(c), obtained setting $\Delta = 0$. For $\theta = 6^\circ$ the graphene and NbSe$_2$ FSs are tangent at isolated points as shown in Fig. 7(b). As a consequence, when $t \neq 0$, the states at the FS of graphene and NbSe$_2$ only hybridize around these “tangent-points,” as shown in Fig. 7(d) obtained for $t = 20\text{ meV}$ and $\Delta = 0$.

We now consider the case when a superconducting gap is present in NbSe$_2$. We find that for $\theta = 0$, the FS is completely gapped but the gap is not uniform. Figure 8(a) shows the lowest positive electron energy, $E_c$, as a function of $k$. The smallest value of $E_c(k)$ corresponds to the induced superconducting gap $\Delta_{\text{ind}}$. For $\theta = 0$, we find $\Delta_{\text{ind}} = 0.05\text{ meV}$. By calculating the smallest value of $E_c(k)$ for each angle $\phi_k = \arctan(k_y/k_x)$, we obtain the angular dependence of $\Delta_{\text{ind}}$. This is shown in Fig. 8(b) for the case when the twist angle is zero. We see that $\Delta_{\text{ind}}$ is strongly anisotropic, with a $C_{3v}$ symmetry, a reflection of the structure of the reconstructed FS, Figs. 6(e) and 7(c).

As the twist angle $\theta$ increases, $\Delta_{\text{ind}}$ decreases becoming vanishing small for $\theta \gtrsim 9^\circ$. Figure 8(c) shows $E_c(k)$ when $\theta = 9^\circ$. From this figure, we see that the location where $E_c(k)$ is minimum appears to correspond to the original graphene’s FS for which $|k| = k_{F,G}$. A closer inspection, however, reveals small oscillations as a function of $\phi_k$, as shown in Fig. 8(d) where $E_c(k)$ is plotted as function of $\phi_k$ and $|k|$ for a small range of $|k|$ centered at $k_{F,G}$.

We now consider the case when the graphene’s FS touches, in the extended BZ, the NbSe$_2$’s FS pocket around the $\Gamma$ point. Figure 9 shows the results when $\theta = 20^\circ$, the situation for which the overlap between the graphene’s FS and the NbSe$_2$’s pocket at the $\Gamma$ point is largest. The left row show the results for the K point, the right the ones for the K’ point. Figures 9(a) and 9(b) show, on a fairly large scale, the configuration of the graphene and NbSe$_2$ FSs, in the absence of any interlayer tunneling, and the corresponding $q_i$ vectors. Figures 9(c) and 9(d) show a zoom, at small momenta, of Figs. 9(a) and 9(b), respectively, from which we can see that the graphene’s FS and the NbSe$_2$’s spin-split FS intersect at several points. At these intersections, the graphene and NbSe$_2$ states strongly
FIG. 9. Fermi surfaces for $\theta = 20^\circ$, the situation for graphene’s FS overlaps with NbSe$_2$’s pocket $\Gamma$. Left and right panels show the results for the Dirac bands at valley $K$ and $K'$, respectively. (a), (b) FSs for $t = 0$. (c), (d) zoom of (a) and (b), respectively. (e), (f) FSs for $t = 20$ meV. As $\theta$ moves away from 20$^\circ$, the overlap of the graphene and NbSe$_2$ FSs is reduced. For $\theta = 18^\circ$, the overlap is still significant, the graphene and NbSe$_2$ FSs still intersect, Fig. 10(a), resulting in a significantly modified FS for the graphene-NbSe$_2$ system, Fig. 10(c). For $\theta = 16^\circ$, the graphene and NbSe$_2$ FSs merely touch, Fig. 10(b). As a consequence, the FS of the hybridized system, for $t = 20$ and $\Delta_\Gamma = 0$, is quite similar to the FS of the two isolated systems.

The superconducting gap on the NbSe$_2$’s gamma pocket induces a gap in the graphene layer when $\theta$ is around 22$^\circ$. Figures 11(a)–11(c) show the profile of $E_c(k)$ for $\theta = 20^\circ$, (a); $\theta = 22^\circ$, (b); and $\theta = 16^\circ$, (c). For $\theta = 16^\circ$, the induced superconducting gap is very small. Panel (d) shows the value of $E_c(\phi_k, |k|)$ for $\theta = 16^\circ$.

Using tunneling experiments [42,43], it is possible to obtain the density of states, DOS, of vdW systems like graphene-NbSe$_2$. From the DOS, it is then straightforward to extract the value of the induced superconducting gap. Figure 12(a) shows the total DOS as a function of energy on a linear-log scale. We observe the coherence peaks corresponding to the NbSe$_2$’s superconducting gap. Below such coherence peaks, the DOS remains finite, because of the graphene’s states, until the energy is equal to $\Delta_{\text{ind}}$. When the energy is equal to $\Delta_{\text{ind}}$ the DOS rapidly goes to zero, given that at that energy the graphene’s states also become gapped. By analyzing the DOS at small energies, we can find how it depends on the twist angle, as shown in Figs. 12(b) and 12(c). Figure 12(b) shows the low-energy DOS for several values of $\theta$ close to zero, i.e., for the case when $K_g$ is close to $K_s$, and Fig. 12(b) shows it for several values of $\theta$ close to 20$^\circ$, i.e., for the case when the $K_g$ is close to $\Gamma$ point of NbSe$_2$’s extended BZ.

From results like the ones shown in Figs. 12(b) and 12(c), we can extract the size of the induced superconducting gap and, in particular, its dependence on the twist angle, Fig. 13. We see that $\Delta_{\text{ind}}$ has a fairly sharp peak for $\theta = 23^\circ$ (we used a 0.5$^\circ$ resolution) where it reaches the value of 0.087 meV.
This is due to the fact that for $\theta \approx 23^\circ$, there is a very strong overlap of the graphene and NbSe$_2$ FSs. $\Delta_{\text{ind}}$ rapidly decrease as $\theta$ deviates from $23^\circ$ and becomes an order of magnitude smaller when $\theta = 16^\circ$. $\Delta_{\text{ind}}(\theta)$ has a lower and broader peak for $\theta = 0$, for which $\Delta_{\text{ind}} = 0.05 \text{ meV}$, i.e., for the situation in which the graphene’s FS has the maximum overlap with the NbSe$_2$ $K$ pockets. As $\theta$ increases from zero, $\Delta_{\text{ind}}$ smoothly decreases and becomes negligible for $\theta \approx 9^\circ$. Due to the symmetry of the system, the behavior of $\Delta_{\text{ind}}(\theta)$ has a “mirror” symmetry around $\theta = 30^\circ$ and is periodic with period equal to 60°, as exemplified by Fig. 13. We notice that the range of values of $\theta$ for which $\Delta_{\text{ind}}$ is not vanishingly small is larger than what we can infer by simply looking at the overlaps of the graphene and NbSe$_2$ FSs, Fig. 2. The reason is that for finite $t$, graphene and NbSe$_2$ states that are within the energy window $|t|$ can still hybridize resulting in a nonzero $\Delta_{\text{ind}}$.

Figure 13 shows that in a graphene-NbSe$_2$ structure, the superconducting gap can be strongly tuned by varying the twist angle and that, counterintuitively, the maximum induced gap is achieved for a value of $\theta$ for which the graphene’s FS overlaps with the $\Gamma_1$ pocket of NbSe$_2$ in the second BZ. Due to the strong SOC in NbSe$_2$, the in-plane critical field is much larger than the field corresponding to the Pauli paramagnetic limit. Due to the fact that SOC is also induced into the graphene layer via proximity effect, we find that also for graphene-NbSe$_2$ heterostructures, the in-plane upper critical field is much larger than the Pauli paramagnetic limit. This is shown in Fig. 14 in which we plot the evolution of $\Delta_{\text{ind}}$ in the presence of a Zeeman term $V_z$, both for values of $\theta$ corresponding to the case when the graphene’s FS overlaps NbSe$_2$’s $K$ pockets (solid lines and circles), and for values of $\theta$ corresponding to the case when the graphene’s FS overlaps NbSe$_2$’s $\Gamma$ pocket (dashed lines and squares). We see that in both cases, $\Delta_{\text{ind}}$ remains finite for $V_z$ as large as 40 times the induced gap of the system at zero magnetic field. However, it is also evident that the suppression of $\Delta_{\text{ind}}$ due to the magnetic field is weaker, and almost independent of $\theta$, for the case when graphene’s FS overlaps NbSe$_2$’s $K$ pockets. This is a consequence of the fact that in NbSe$_2$ the bands’ spin splitting due to SOC is much stronger for the $K$ pockets than for the $\Gamma$ pocket.

From Fig. 14, we notice that for $\theta = 22^\circ$ the dependence of $\Delta_{\text{ind}}$ on the Zeeman term deviates from the dependence that we find for the other values of $\theta$: $\Delta_{\text{ind}}$ suddenly decreases when $V_z \approx 15\Delta_{\text{ind}}(V_z = 0)$ and it exhibits oscillations for larger values of $V_z$. The reason is that for this value of $\theta$, there are several points in momentum space for which the induced gap is close to the minimum value and, as shown in Figs. 15(a)–15(c), as $V_z$ increases the point, $k^*$, in momentum space where the induced gap is minimum moves. This is in
conducting pairing in NbSe$_2$ and the fact that, depending on the twist angle, graphene's FS overlaps either with NbSe$_2$'s FS around the K points, the induced gap is much more robust to an external in-plane magnetic field than if $\theta$ is such that the graphene's FS overlaps with the NbSe$_2$ pockets around the $\Gamma$ point. This is a consequence of the fact that the spin splitting of the NbSe$_2$ bands due to SOC is much stronger at the $K$ point than at the $\Gamma$ point.

The strong dependence on the external magnetic fields of the superconducting gap induced into the graphene layer is a reflection of the fact that graphene can be used, by simply varying the twist angle, as a momentum-selective probe of the electronic structure, and properties, of the substrate. We can therefore envision that tunneling experiments on graphene-based heterostructures could provide very useful, momentum-selective information on the gap structure of systems with more complex gap profiles.

Considering the similarities between the FS structure of monolayer NbSe$_2$ and other TMDs, our results are also relevant to other graphene-TMD heterostructures. This also applies to the case in which, instead of a monolayer, a few-atomic-layer TMD is used. Our results suggest that, in general, for a large range of stacking configurations, the graphene and TMD states, despite the large lattice mismatch, are expected to hybridize and, when the TMD is superconducting, induce a significant superconducting gap into the graphene layer. It would be interesting to study how such proximity affect can affect the ground state of twisted-bilayer graphene systems [44–48].

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