

## Consequences of the Equivalence Principle (for particle motion)

See 5.1-5.4

Weak Equivalence Principle - equality of inertial and gravitational mass  $m_i = m_g$   
 $\vec{F} = m_i \vec{a} = m_g \vec{g} \Rightarrow \vec{a} = \vec{g}$ , independent of object.

Einstein Equivalence Principle - In small enough regions of spacetime, the laws of physics reduce to those of special relativity. (In a small box which is freely falling, over a short enough period of time it is impossible to detect the gravitational field.) This applies to both nongravitational and (Strong Equivalence Principle) gravitational systems.

In the absence of gravity or any forces, in any inertial frame particles move in straight lines in spacetime,

$\frac{d^2 \xi^\alpha}{d\tau^2} = 0$ , where  $d\tau^2 = -\eta_{\alpha\beta} d\xi^\alpha d\xi^\beta$ , and  $\xi^\alpha$  are the particle's coordinates in an inertial coordinate system.

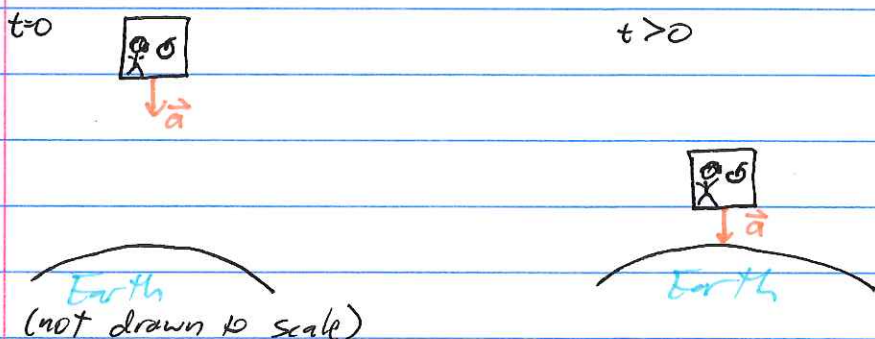
For  $\alpha=0$ ,  $\frac{d^2 \xi^0}{d\tau^2} = \frac{d^2 t}{d\tau^2} = 0 \Rightarrow \boxed{t = a\tau + b}$  for some  $a, b$

For  $\alpha=i \in \{1, 2, 3\}$ ,  $\frac{d^2 \xi^i}{d\tau^2} = 0 \Rightarrow \boxed{\xi^i = A^i \tau + B^i = \tilde{A}^i t + \tilde{B}^i}$   
where  $\tilde{A}^i = \frac{A^i}{a}$ ,  $\tilde{B}^i = B^i - \frac{bA^i}{a}$

This describes a particle moving w/ constant velocity  $v^i = \frac{A^i}{a}$ .

In the presence of gravity (but no external forces), the Einstein Equivalence Principle implies that there is a freely falling coordinate system in which the particle's motion is identical to that in the absence of gravity.

Newtonian Perspective: A box carrying Isaac and an apple accelerate towards the Earth due to the gravitational force on the box. The falling box sets up an accelerating, non-inertial coordinate system.



Einsteinian Perspective: A freely falling box and its contents set up an inertial frame locally.



In a freely falling coordinate system, defined locally to a particle, the particle's motion satisfies

$$\boxed{\frac{d^2 x^\alpha}{dt^2} = 0}$$

just as in the absence of gravity.



Suppose we consider the same motion in an arbitrary coordinate system  $x^\mu$ , so that  $\xi^\alpha = \xi^\alpha(x^\mu)$

$$0 = \frac{d^2 \xi^\alpha}{d\tau^2} = \frac{d}{d\tau} \left( \frac{d \xi^\alpha}{d\tau} \right) = \frac{d}{d\tau} \left( \frac{\partial \xi^\alpha}{\partial x^\mu} \frac{dx^\mu}{d\tau} \right) \quad (\text{chain rule})$$

$$= \frac{\partial \xi^\alpha}{\partial x^\mu} \frac{d^2 x^\mu}{d\tau^2} + \underbrace{\frac{\partial}{\partial x^\nu} \left( \frac{\partial \xi^\alpha}{\partial x^\mu} \right) \frac{dx^\nu}{d\tau} \frac{dx^\mu}{d\tau}}_{\frac{d}{d\tau} \left( \frac{\partial \xi^\alpha}{\partial x^\mu} \right)}$$

Multiply by  $\frac{\partial x^\lambda}{\partial \xi^\alpha}$ , use  $\frac{\partial \xi^\alpha}{\partial x^\mu} \frac{\partial x^\lambda}{\partial \xi^\alpha} = \delta^\lambda_\mu$ .

$$\Rightarrow \frac{\partial x^\lambda}{\partial \xi^\alpha} \frac{\partial \xi^\alpha}{\partial x^\mu} \frac{d^2 x^\mu}{d\tau^2} + \frac{\partial x^\lambda}{\partial \xi^\alpha} \frac{\partial^2 \xi^\alpha}{\partial x^\mu \partial x^\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0$$

$$\delta^\lambda_\mu \frac{d^2 x^\mu}{d\tau^2} + \frac{\partial x^\lambda}{\partial \xi^\alpha} \frac{\partial^2 \xi^\alpha}{\partial x^\mu \partial x^\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0$$

$$\boxed{\frac{d^2 x^\lambda}{d\tau^2} + \Gamma^\lambda_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = 0}$$

where

$$\Gamma^\lambda_{\mu\nu} = \frac{\partial x^\lambda}{\partial \xi^\alpha} \frac{\partial^2 \xi^\alpha}{\partial x^\mu \partial x^\nu}$$

is called the affine connection.

The proper time may also be expressed in the new coordinate system:

$$d\tau^2 = -\eta_{\alpha\beta} d\xi^\alpha d\xi^\beta$$

$$= -\eta_{\alpha\beta} \left( \frac{\partial \xi^\alpha}{\partial x^\mu} dx^\mu \right) \left( \frac{\partial \xi^\beta}{\partial x^\nu} dx^\nu \right)$$

$$\equiv -g_{\mu\nu} dx^\mu dx^\nu$$

where  $g_{\mu\nu}$  is the metric tensor

$$g_{\mu\nu} \equiv \frac{\partial \xi^\alpha}{\partial x^\mu} \frac{\partial \xi^\beta}{\partial x^\nu} \eta_{\alpha\beta}$$

Note! Along the trajectory of a photon  $d\tau^2 = 0$ , but we can instead use  $\sigma \equiv \xi^0$  to parametrize the trajectory. The equation of motion and vanishing proper time become (in the freely falling frame)

$$\frac{d^2 \xi^\alpha}{d\sigma^2} = 0$$
$$0 = -\eta_{\alpha\beta} \frac{d\xi^\alpha}{d\sigma} \frac{d\xi^\beta}{d\sigma}$$

which, in a general coordinate system becomes

$$\frac{d^2 x^\mu}{d\sigma^2} + \Gamma_{\nu\lambda}^{\mu} \frac{dx^\nu}{d\sigma} \frac{dx^\lambda}{d\sigma} = 0$$

$$0 = -g_{\mu\nu} \frac{dx^\mu}{d\sigma} \frac{dx^\nu}{d\sigma}$$

where  $\Gamma_{\nu\lambda}^{\mu}$  and  $g_{\mu\nu}$  are as before.

The proper time between two events with a given infinitesimal coordinate separation is determined by the metric tensor  $g_{\mu\nu}$ . The motion of a particle in a gravitational field is determined by the affine connection  $\Gamma_{\mu\nu}^{\lambda}$ . There is, in fact, a relation between  $\Gamma_{\mu\nu}^{\lambda}$  and  $g_{\mu\nu}$ .

Recall that 
$$g_{\mu\nu} = \frac{\partial z^{\alpha}}{\partial x^{\mu}} \frac{\partial z^{\beta}}{\partial x^{\nu}} \eta_{\alpha\beta}$$

Differentiating w.r.t.  $x^{\lambda}$  gives

$$\begin{aligned} \frac{\partial g_{\mu\nu}}{\partial x^{\lambda}} &= \frac{\partial^2 z^{\alpha}}{\partial x^{\lambda} \partial x^{\mu}} \frac{\partial z^{\beta}}{\partial x^{\nu}} \eta_{\alpha\beta} + \frac{\partial z^{\alpha}}{\partial x^{\mu}} \frac{\partial^2 z^{\beta}}{\partial x^{\lambda} \partial x^{\nu}} \eta_{\alpha\beta} \\ &= \Gamma_{\lambda\mu}^{\rho} \frac{\partial z^{\alpha}}{\partial x^{\rho}} \frac{\partial z^{\beta}}{\partial x^{\nu}} \eta_{\alpha\beta} + \Gamma_{\lambda\nu}^{\rho} \frac{\partial z^{\alpha}}{\partial x^{\mu}} \frac{\partial z^{\beta}}{\partial x^{\rho}} \eta_{\alpha\beta} \\ &= \Gamma_{\lambda\mu}^{\rho} g_{\rho\nu} + \Gamma_{\lambda\nu}^{\rho} g_{\rho\mu} \end{aligned}$$

$$\frac{\partial g_{\mu\nu}}{\partial x^{\lambda}} = \Gamma_{\lambda\mu}^{\rho} g_{\rho\nu} + \Gamma_{\lambda\nu}^{\rho} g_{\rho\mu}$$

It follows that (Exercise):

$$\frac{\partial g_{\mu\nu}}{\partial x^{\lambda}} + \frac{\partial g_{\lambda\nu}}{\partial x^{\mu}} - \frac{\partial g_{\mu\lambda}}{\partial x^{\nu}} = 2 g_{\mu\nu} \Gamma_{\lambda\mu}^{\kappa}$$

Define  $g^{\mu\nu}$  as the inverse of  $g_{\mu\nu}$ , i.e.

$$\boxed{g_{\mu\nu} g^{\nu\sigma} = \delta_{\mu}^{\sigma}}$$



From above:  $\frac{\partial g_{\mu\nu}}{\partial x^\lambda} + \frac{\partial g_{\lambda\nu}}{\partial x^\mu} - \frac{\partial g_{\mu\lambda}}{\partial x^\nu} = 2g_{\kappa\nu} \Gamma_{\lambda\mu}^\kappa$

Contract with  $g^{\nu\sigma}$ :

$$\begin{aligned} g^{\nu\sigma} \left( \frac{\partial g_{\mu\nu}}{\partial x^\lambda} + \frac{\partial g_{\lambda\nu}}{\partial x^\mu} - \frac{\partial g_{\mu\lambda}}{\partial x^\nu} \right) &= 2g_{\kappa\nu} g^{\nu\sigma} \Gamma_{\lambda\mu}^\kappa \\ &= 2\delta_\kappa^\sigma \Gamma_{\lambda\mu}^\kappa \\ &= 2\Gamma_{\lambda\mu}^\sigma \end{aligned}$$

In other words,

$$\Gamma_{\lambda\mu}^\sigma = \frac{1}{2} g^{\nu\sigma} \left( \frac{\partial g_{\mu\nu}}{\partial x^\lambda} + \frac{\partial g_{\lambda\nu}}{\partial x^\mu} - \frac{\partial g_{\mu\lambda}}{\partial x^\nu} \right)$$

In terms of the metric  $g_{\mu\nu}$ ,  $\Gamma_{\lambda\mu}^\sigma$  is also called the Christoffel symbol.

Consequences of the relation between  $\Gamma_{\lambda\mu}^\sigma$  and  $g_{\mu\nu}$ :

(1) The Eq. of motion of a freely falling particle automatically maintains the form of the proper time interval  $d\tau$ .

$$\frac{d}{d\tau} \left( g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right) = \frac{\partial g_{\mu\nu}}{\partial x^\lambda} \frac{dx^\lambda}{d\tau} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau}$$

$$+ g_{\mu\nu} \frac{d^2 x^\mu}{d\tau^2} \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{d^2 x^\nu}{d\tau^2}$$

$$\leftarrow \Gamma_{\kappa\lambda}^\mu \frac{dx^\kappa}{d\tau} \frac{dx^\lambda}{d\tau}$$

$$\leftarrow -\Gamma_{\kappa\lambda}^\nu \frac{dx^\kappa}{d\tau} \frac{dx^\lambda}{d\tau}$$

$$\frac{d}{d\tau} \left( g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right) = \left[ \frac{\partial g_{\mu\sigma}}{\partial x^\lambda} g_{\mu\sigma} \Gamma_{\lambda}^{\mu} - g_{\mu\sigma} \Gamma_{\lambda}^{\mu} - g_{\nu\lambda} \Gamma_{\sigma}^{\nu} \right] \times \frac{dx^\lambda}{d\tau} \frac{dx^\sigma}{d\tau} \frac{dx^\nu}{d\tau}$$

The term in brackets vanishes by the relation between  $\Gamma_{\lambda}^{\mu}$  and  $g_{\mu\nu}$ . (Exercise)

$$\text{Hence } \frac{d}{d\tau} \left( g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right) = 0$$

$$g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = -C, \text{ where } C \text{ is a constant of the motion.}$$

If we choose  $C=1$  then  $d\tau^2 = -g_{\mu\nu} dx^\mu dx^\nu$  everywhere along the trajectory.

Similarly, for a massless photon,  $C=0$  as an initial condition, and  $g_{\mu\nu} dx^\mu dx^\nu = 0$  along the trajectory.

(2) The law of motion of freely falling bodies satisfies a variational principle, namely that the proper time is stationary.

$$\text{Define } T(A \rightarrow B) = \int_A^B \frac{d\tau}{dp} dp = \int_A^B \left\{ -g_{\mu\nu} \frac{dx^\mu}{dp} \frac{dx^\nu}{dp} \right\}^{1/2} dp,$$

where  $p$  is an arbitrary parameter along the trajectory, which begins at point  $A$  and ends at point  $B$ .



Now let  $x^M(p) \rightarrow x^M(p) + \delta x^M(p)$  with  $\delta x^M = 0$  at  $P_A, P_B$ .

$$\delta T(A \rightarrow B) = \int_A^B \left\{ -g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right\}^{-1/2} \left[ -\frac{\partial g_{\mu\nu}}{\partial x^\lambda} \delta x^\lambda \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} - 2g_{\mu\nu} \frac{d\delta x^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right] d\tau$$

$\uparrow$  from symmetry of  $g_{\mu\nu}$

$$\delta T(A \rightarrow B) = - \int_A^B \left[ \frac{1}{2} \frac{\partial g_{\mu\nu}}{\partial x^\lambda} \delta x^\lambda \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} + g_{\mu\nu} \frac{d\delta x^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right] d\tau$$

$\uparrow$  integrate by parts

$$= - \int_A^B \left[ \frac{1}{2} \frac{\partial g_{\mu\nu}}{\partial x^\lambda} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} - \left( \frac{\partial g_{\lambda\nu}}{\partial x^\sigma} \frac{dx^\sigma}{d\tau} \right) \frac{dx^\nu}{d\tau} - g_{\lambda\nu} \frac{d^2 x^\nu}{d\tau^2} \right] \delta x^\lambda d\tau$$

$$= - \int_A^B \left\{ \left[ \frac{1}{2} \frac{\partial g_{\mu\sigma}}{\partial x^\lambda} - \frac{\partial g_{\lambda\mu}}{\partial x^\sigma} \right] \frac{dx^\mu}{d\tau} \frac{dx^\sigma}{d\tau} - g_{\lambda\nu} \frac{d^2 x^\nu}{d\tau^2} \right\} \delta x^\lambda d\tau$$

$$= - \int_A^B \left\{ \left[ \frac{1}{2} \underbrace{g_{\lambda\nu} g^{\nu\kappa}}_{\delta_\lambda^\kappa} \frac{\partial g_{\mu\sigma}}{\partial x^\kappa} - \underbrace{g_{\lambda\nu} g^{\nu\kappa}}_{\delta_\lambda^\kappa} \frac{\partial g_{\kappa\mu}}{\partial x^\sigma} \right] \frac{dx^\mu}{d\tau} \frac{dx^\sigma}{d\tau} - g_{\lambda\nu} \frac{d^2 x^\nu}{d\tau^2} \right\} \delta x^\lambda d\tau$$

$$= - \int_A^B \left\{ \frac{1}{2} g^{\nu\kappa} \left( \frac{\partial g_{\mu\sigma}}{\partial x^\kappa} - \frac{\partial g_{\kappa\mu}}{\partial x^\sigma} - \frac{\partial g_{\sigma\kappa}}{\partial x^\mu} \right) \frac{dx^\mu}{d\tau} \frac{dx^\sigma}{d\tau} - \frac{d^2 x^\nu}{d\tau^2} \right\} \delta x^\lambda d\tau$$

$$= g_{\lambda\nu} \delta x^\lambda d\tau$$

$$= \int_A^B \left\{ \frac{d^2 x^\nu}{d\tau^2} + \Gamma_{\mu\sigma}^\nu \frac{dx^\mu}{d\tau} \frac{dx^\sigma}{d\tau} \right\} g_{\lambda\nu} \delta x^\lambda d\tau$$

$= 0$  along freely falling trajectory, i.e.

$$\boxed{\delta T(A \rightarrow B) = 0}$$



We will return to the implications of the stationarity of the proper time along trajectories shortly.

(3) Consider a slowly moving particle,  $\frac{d\vec{x}}{d\tau}$  negligible compared to  $\frac{dt}{d\tau}$ , in a weak stationary gravitational field.

$$0 = \frac{d^2 x^\mu}{d\tau^2} + \Gamma_{\nu\lambda}^\mu \frac{dx^\nu}{d\tau} \frac{dx^\lambda}{d\tau}$$

$$\approx \frac{d^2 x^\mu}{d\tau^2} + \Gamma_{00}^\mu \left(\frac{dt}{d\tau}\right)^2$$

$$\Gamma_{00}^\mu = \frac{1}{2} g^{\mu\kappa} \left( \frac{\partial g_{\kappa 0}}{\partial x^0} + \frac{\partial g_{\kappa 0}}{\partial x^0} - \frac{\partial g_{00}}{\partial x^\kappa} \right) \quad (\text{stationary field})$$

$$= -\frac{1}{2} g^{\mu\kappa} \frac{\partial g_{00}}{\partial x^\kappa}$$

Suppose we adopt a nearly Cartesian coordinate system in the weak field,  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ,  $|h_{\mu\nu}| \ll 1$

To first order in  $h_{\mu\nu}$ :  $\Gamma_{00}^\mu = -\frac{1}{2} \eta^{\mu\kappa} \frac{\partial h_{00}}{\partial x^\kappa}$

The equations of motion become:

$$\frac{d^2 t}{d\tau^2} = 0 \quad \Rightarrow \quad \frac{dt}{d\tau} = \text{const.}$$

$$\frac{d^2 x^i}{d\tau^2} = \frac{1}{2} \eta^{ij} \frac{\partial h_{00}}{\partial x^j} \left(\frac{dt}{d\tau}\right)^2 \quad \Rightarrow \quad \frac{d^2 \vec{x}}{d\tau^2} = \frac{1}{2} \nabla h_{00} \left(\frac{dt}{d\tau}\right)^2$$

Divide the second eqn. by the constant  $\left(\frac{dt}{d\tau}\right)^2$ :

$$\boxed{\frac{d^2 \vec{x}}{dt^2} = \frac{1}{2} \nabla h_{00}}$$

Comparing with Newtonian gravity,

$$\frac{d^2 x^0}{dt^2} = -\nabla\phi \rightarrow h_{00} = -2\phi + \text{constant}$$

↑ gravitational potential

↑ choose such that  $\phi \rightarrow 0$  at infinity, i.e.  
 $\phi = -\frac{GM}{r}$

$$\Rightarrow g_{00} = -(1+2\phi)$$

At the surface of the earth,  $|\phi| \sim 10^{-9}$

sun  $10^{-6}$

white dwarf  $10^{-4}$

→ The assumption  $|\phi| \ll 1$  is self consistent in typical physical situations

#### (4) Time Dilation, Gravitational Redshift

Consider a clock in a gravitational field, though not necessarily in free fall.

In a locally inertial frame the proper time between ticks is  $\Delta\tau = (-\eta_{\alpha\beta} dS^\alpha dS^\beta)^{1/2}$

In an arbitrary coordinate system,  
 $\Delta\tau = (-g_{\mu\nu} dx^\mu dx^\nu)^{1/2}$

In the rest frame of the clock, with time interval between ticks  $dt$ ,

$$\Delta\tau = \sqrt{-g_{00}} dt, \text{ or}$$

$$dt = \frac{\Delta\tau}{\sqrt{-g_{00}}}$$



For a weak, <sup>stationary</sup> field with  $g_{00} = -(1+2\phi)$ ,

$$dt = \frac{\Delta\tau}{\sqrt{1+2\phi}} \approx \Delta\tau (1-\phi) \quad \text{Time dilation}$$

One can measure the time dilation by observing clocks from different points in space.

Suppose an atom emits light w/ some frequency  $\nu_2$  from pt. 2 as observed at pt. 1, so that the time between crests of the wave is  $dt_2 = \frac{1}{\nu_2} = \Delta\tau \sqrt{-g_{00}(x_2)}$ .

If the same light is emitted at pt. 1 and observed at pt. 1, then the time between crests is

$$dt_1 = \frac{1}{\nu_1} = \Delta\tau \sqrt{-g_{00}(x_1)}$$

The ratio of the frequency of light from pt. 2 observed at pt. 1, to that of light from pt. 1 observed at pt. 1 is

$$\frac{\nu_2}{\nu_1} = \left( \frac{g_{00}(x_2)}{g_{00}(x_1)} \right)^{1/2}$$

In the weak field limit,  $\frac{\nu_2}{\nu_1} = 1 + \frac{\Delta\nu}{\nu}$ ,  $g_{00} \approx -(1+2\phi)$

$$\rightarrow 1 + \frac{\Delta\nu}{\nu} \approx \left( \frac{1+2\phi(x_2)}{1+2\phi(x_1)} \right)^{1/2} \approx 1 + \phi(x_2) - \phi(x_1)$$

$$\frac{\Delta\nu}{\nu} = \phi(x_2) - \phi(x_1)$$

Gravitational Redshift

Example: Light from the sun is redshifted by 2 parts per million on the way to Earth

Using the Mossbauer effect, Pound and Rebka measured the increase in frequency of  $\gamma$  light emitted by  $\text{Fe}^{57}$  falling 22.6 m on Earth, with  $\Delta\phi \approx -2.5 \times 10^{-15}$

$$\frac{\Delta\nu}{\nu} \approx 2.5 \times 10^{-15}$$

The gravitational redshift plays an important role in astronomical observations of light from distant gravitational potential wells, in which case the redshift can be used to deduce the mass distribution at cosmological distances.