

## Second quantization

Indistinguishable particles  $\rightarrow$  no need to distinguish them! Only thing that matters is how many.

Suppose we can figure out a basis of non-interacting single particle states of some operator  $\hat{O}$

$$\hat{O} |\psi_i\rangle = k_i |\psi_i\rangle \quad \text{for } i\text{-th state}$$

(Example  $\{ |l, m\rangle \}$  states  $\hat{L}^2 |l, m\rangle = \hbar^2 l(l+1) |l, m\rangle$ )

For multiple particles we can now describe their collective state as

$$|n_1, n_2, \dots, n_i, \dots\rangle$$

$\uparrow$  # of particle in state  $|\psi_i\rangle$

$\{n_i\}$  - occupation numbers

This is a description of a state in Fock space (or Fock states)

Special cases: vacuum state

$$|0\rangle = |0, 0, \dots, 0, \dots\rangle$$

Single-particle state ( $n_i=1, n_j=0$  for  $i \neq j$ )

$$|0, \dots, 0, n_i=1, 0, \dots\rangle = |k_i\rangle \quad (\text{same as } |\psi_i\rangle)$$

We need operators to act in Fock space:  
 creation operator  $\hat{a}_i^+ : \hat{a}_i^+ |n_1, \dots, n_i, \dots\rangle \propto |n_1, \dots, n_i+1, \dots\rangle$   
 annihilator operator  $\hat{a}_i : \hat{a}_i |n_1, \dots, n_i, \dots\rangle \propto |n_1, \dots, n_i-1, \dots\rangle$

Clearly

$$\hat{a}_i^+ |0\rangle = |k_i\rangle$$

Thus

$$\begin{aligned} \langle k_i | k_i \rangle &= 1 \quad (\hat{a}_i^+ |0\rangle)^+ \hat{a}_i^+ |0\rangle = \langle 0 | \hat{a}_i \hat{a}_i^+ |0\rangle = \\ &= \langle 0 | \underbrace{\hat{a}_i}_= |0\rangle |k_i\rangle = 1 \end{aligned}$$

$$\hat{a}_i |k_i\rangle = |0\rangle \quad \text{vacuum state}$$

and  $\hat{a}_i |0\rangle = 0$

Consequently  $\hat{a}_i |k_j\rangle = \delta_{ij} |0\rangle$

Two-particle state

Bosons:  $\hat{a}_i^+ \hat{a}_j^+ |0\rangle = \hat{a}_j^+ \hat{a}_i^+ |00\rangle = |11\rangle =$   
 $= \frac{1}{\sqrt{2}} (|\psi_i\rangle |\psi_j\rangle + |\psi_j\rangle |\psi_i\rangle)$  - must obey the symmetry  
 $\hat{a}_i^+ \hat{a}_j^+ |0\rangle = \hat{a}_j^+ \hat{a}_i^+ |0\rangle$  - particles are indistinguishable

Fermions:  $\hat{a}_i^+ \hat{a}_j^+ |0\rangle = \hat{a}_j^+ \hat{a}_i^+ |00\rangle = \hat{a}_i^+ |01\rangle = |11\rangle_0$   
 but  $\hat{a}_j^+ \hat{a}_i^+ = \hat{a}_j^+ |10\rangle = -|11\rangle_1$  - obey the Pauli exclusion principle  
 $\hat{a}_i^+ \hat{a}_j^+ |0\rangle = -\hat{a}_j^+ \hat{a}_i^+ |0\rangle$

Bosons:

use commutators  $[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}$

Fermions

use anticommutators  $\{\hat{A}, \hat{B}\} = \hat{A}\hat{B} + \hat{B}\hat{A}$

Bosons

$$0 = \hat{a}_i^+ \hat{a}_j^+ - \hat{a}_j^+ \hat{a}_i^+ = [\hat{a}_i^+, \hat{a}_j^+]$$

$$\hat{a}_i \hat{a}_j - \hat{a}_j \hat{a}_i = [\hat{a}_i, \hat{a}_j] = 0$$

$$\hat{a}_i \hat{a}_j^+ - \hat{a}_j^+ \hat{a}_i = [\hat{a}_i, \hat{a}_j^+] = \delta_{ij}$$

$$\underline{\hat{a}_i \hat{a}_i^+ - \hat{a}_i^+ \hat{a}_i = 1}$$

Fermions

$$0 = \hat{a}_i^+ \hat{a}_j^+ + \hat{a}_j^+ \hat{a}_i^+ = \{\hat{a}_i^+, \hat{a}_j^+\}$$

$$\hat{a}_i \hat{a}_j + \hat{a}_j \hat{a}_i = \{\hat{a}_i, \hat{a}_j\} = 0$$

$$\hat{a}_i \hat{a}_j^+ + \hat{a}_j^+ \hat{a}_i = \{\hat{a}_i, \hat{a}_j^+\} = \delta_{ij}$$

$$\underline{\hat{a}_i \hat{a}_i^+ + \hat{a}_i^+ \hat{a}_i = 1}$$

The "only" difference in statistics is in the sign!

Number operator: measures the occupation numbers

Single-particle state:  $\hat{N}_i = \hat{a}_i^+ \hat{a}_i$

$$\hat{N}_i |n_1, n_2, \dots, n_i, \dots\rangle = n_i |n_1, n_2, \dots, n_i, \dots\rangle$$

Total number of particles operator

$$\hat{N} = \sum_i \hat{a}_i^+ \hat{a}_i = \sum_i \hat{N}_i$$

$$\hat{N} |n_1, n_2, \dots, n_i, \dots\rangle = (n_1 + n_2 + \dots + n_i + \dots) |n_1, n_2, \dots, n_i, \dots\rangle$$

One can also show that

$$\hat{a}_i | \dots n_i \dots \rangle = \sqrt{n_i} | \dots n_i - 1 \dots \rangle$$

$$\hat{a}_i^+ | \dots n_i \dots \rangle = \sqrt{n_i + 1} | \dots n_i + 1 \dots \rangle$$

$$\begin{aligned} (\text{thus } \hat{a}_i^+ \hat{a}_i | \dots n_i \dots \rangle &= \hat{a}_i^+ | \dots n_i - 1 \dots \rangle \cdot \sqrt{n_i} = \\ &= n_i | \dots n_i \dots \rangle \end{aligned}$$

Also, for fermions  $n_i = 0$  or  $1$  only

$$(\hat{a}_i^+)^2 | \emptyset \rangle = 0$$

Pauli exclusion principle



We now know how to count particles in the states of a particular operator. What if we need to work with a different basis?

$$\hat{O}|\psi_i\rangle = k_i|\psi_i\rangle \text{ or } k_i|k_i\rangle$$

and it is more convenient to "recount" the particles in a different basis  $\{|\varphi_j\rangle\}$

$$|\psi_i\rangle = \sum_j |\varphi_j\rangle \langle \varphi_j | \psi_i \rangle$$

$\hat{b}_j$  and  $\hat{b}_j^+$  are the annihilation and creation operators in the new basis

$$|\varphi_j\rangle = \hat{b}_j^+ |\emptyset\rangle$$

Important: vacuum is the same in all bases!

$$|\psi_i\rangle = \hat{a}_i^+ |\emptyset\rangle = \sum_j \hat{b}_j^+ |\emptyset\rangle \langle \varphi_j | \psi_i \rangle$$

$$\Rightarrow \hat{a}_i^+ = \sum_j \langle \varphi_j | \psi_i \rangle \hat{b}_j^+$$

"Additive" single-particle operator

$$\hat{\mathcal{K}}|k_i\rangle = k_i|k_i\rangle$$

$$\hat{\mathcal{K}}|\Psi\rangle = \hat{\mathcal{K}}|n_1, n_2, n_3, \dots\rangle = \sum_i n_i k_i |\Psi\rangle$$

Kinetic energy, momentum, any operator with single-particle action

$$\hat{\mathcal{K}} = \sum_i k_i \hat{N}_i = \sum_i k_i \hat{a}_i^\dagger \hat{a}_i =$$

$$= \sum_i k_i \sum_m \hat{b}_m^\dagger \langle \varphi_m | \Psi_i \rangle \sum_n \hat{b}_n (\langle \varphi_m | \Psi_i \rangle)^* =$$

$$= \sum_i k_i \sum_{n,m} \hat{b}_m^\dagger \langle \varphi_m | \Psi_i \rangle \langle \Psi_i | \varphi_n \rangle \hat{b}_n =$$

$$= \sum_{n,m} \hat{b}_m^\dagger \hat{b}_n \langle \varphi_m | \left\{ \sum_i |\Psi_i\rangle k_i \langle \Psi_i| \right\} | \varphi_n \rangle =$$

$$= \hat{\mathcal{K}} \sum_i |\Psi_i\rangle \langle \Psi_i| = \hat{\mathcal{K}}$$

$$= \sum_{n,m} \hat{b}_m^\dagger \hat{b}_n \langle \varphi_m | \hat{\mathcal{K}} | \varphi_n \rangle$$

The rule of the second quantization for any non-interacting particles

Can use this trick to calculate the eigenvalues for any additive operator (i.e. for non-interactive particles)

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Interactions b/w the particles

$$\hat{V}_{int} = \frac{1}{2} \sum_{\substack{ij \\ i \neq j}} V_{ij} \hat{N}_i \hat{N}_j + \frac{1}{2} \sum_i V_{ii} (\hat{N}_i^2 - \hat{N}_i) =$$

$$= \frac{1}{2} \sum_{ij} V_{ij} (\hat{N}_i \hat{N}_j - \hat{N}_i \delta_{ij}) = \frac{1}{2} \sum_{i \neq j} V_{ij} \hat{\Pi}_{ij}$$

$$\hat{\Pi}_{ij} = \hat{N}_i \hat{N}_j - \delta_{ij} \hat{N}_i \quad \text{pair distribution operator}$$

$$\hat{\Pi}_{ij} = \hat{a}_i^\dagger \hat{a}_i \hat{a}_j^\dagger \hat{a}_j - \delta_{ij} \hat{a}_i^\dagger \hat{a}_i = \hat{a}_i^\dagger (\delta_{ij} \pm \hat{a}_j^\dagger \hat{a}_i) \hat{a}_j - \delta_{ij} \hat{a}_i^\dagger \hat{a}_i$$

$$= \pm \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_i \hat{a}_j = (\pm)^2 \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_j \hat{a}_i = \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_j \hat{a}_i$$

$$\hat{V}_{int} = \frac{1}{2} \sum V_{ij} \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_j \hat{a}_i$$

Following the same procedure as for a single-particle second quantization, but for two particles

$$\hat{V}_{int} = \frac{1}{2} \sum_{\substack{mn \\ qp}} \langle mn | \hat{V}_{int} | qp \rangle \hat{b}_m^\dagger \hat{b}_n^\dagger \hat{b}_q \hat{b}_p$$

$$\text{with } \langle mn | \hat{V}_{int} | qp \rangle = \sum_{ij} V_{ij} \langle \varphi_m | \varphi_i \rangle \times$$

$$\times \langle \varphi_n | \varphi_j \rangle \langle \varphi_i | \varphi_q \rangle \langle \varphi_j | \varphi_p \rangle$$



Electron - electron interactions

$$V_{int} = \frac{e^2}{|\vec{r} - \vec{r}'|} \quad \text{— two particles}$$

For the system

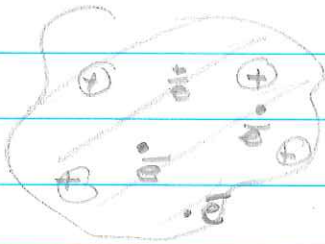
$$\sum_{i,j} \rightarrow \int d^3\vec{r} d^3\vec{r}'$$

(know electron density)

However, it is more convenient to work in the momentum representation for energy calculations

$$|\vec{p} = \hbar\vec{k}\rangle \propto e^{-i\vec{k}\cdot\vec{r}}$$

Degenerate electron gas in the presence of the uniform background + charge  $\rho = eN/V$   
( $N$  - number of electrons)



$$\hat{H} = \hat{H}_{el} + \hat{H}_{bg} + \hat{H}_{el-bg}$$

$$\hat{H}_{el} = \sum_{i=1}^N \frac{\hat{p}_i^2}{2m} + \frac{1}{2} \sum_{i \neq j} \frac{e^{-\mu|\vec{r}_i - \vec{r}_j|}}{|\vec{r}_i - \vec{r}_j|}$$

(screened Coulomb potential)

$$\hat{H}_{bg} = \frac{1}{2} \int d^3\vec{r} d^3\vec{r}' g(\vec{r}) g(\vec{r}') \frac{e^{-\mu|\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|} = \frac{2\pi e^2 N^2}{V\mu^2}$$

$$\hat{H}_{el-bg} = -e \sum_{i=1}^N \int d^3\vec{r}' g(\vec{r}') \frac{e^{-\mu|\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|} = -\frac{4\pi e^2 N^2}{V\mu^2}$$

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$$\hat{H} = -\frac{2\pi e^2 N^2}{V\mu^2} + \sum_i \frac{\hat{p}_i^2}{2m} + \boxed{\frac{1}{2} e^2 \sum_{i \neq j} \frac{e^{-\mu |\vec{r}_i - \vec{r}_j|}}{|\vec{r}_i - \vec{r}_j|}}$$

$\hat{V}_{ee}$

"additive" operator

$$\sum_i \frac{\hat{p}_i^2}{2m} \rightarrow \sum_{\vec{k}, \lambda} \frac{\hbar^2 k^2}{2m} \hat{a}_{\vec{k}, \lambda}^+ \hat{a}_{\vec{k}, \lambda}$$

$\lambda$  - spin of an  $e^-$

$$\hat{V}_{ee} = \frac{1}{2} \sum_{\substack{\vec{k}_1, \vec{k}_2 \\ \vec{k}_3, \vec{k}_4}} \langle \vec{k}_1, \lambda_1; \vec{k}_2, \lambda_2 | \hat{V}_{ee} | \vec{k}_3, \lambda_3; \vec{k}_4, \lambda_4 \rangle \times$$

$$\times \hat{a}_{\vec{k}_1, \lambda_1}^+ \hat{a}_{\vec{k}_2, \lambda_2}^+ \hat{a}_{\vec{k}_3, \lambda_3} \hat{a}_{\vec{k}_4, \lambda_4}$$

momentum conservation

$$\langle \dots | \hat{V}_{ee} | \dots \rangle = \frac{e^2}{V} \frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2} \underbrace{\delta_{\lambda_1, \lambda_4} \delta_{\lambda_2, \lambda_3}}_{\substack{\text{no change in spin} \\ \downarrow}} \delta_{\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4}$$

After some careful manipulations (see Sakurai 7.5) we can set  $\mu \rightarrow 0$

$$\hat{H} = \sum_{\vec{k}, \lambda} \frac{\hbar^2 k^2}{2m} \hat{a}_{\vec{k}, \lambda}^+ \hat{a}_{\vec{k}, \lambda} + \frac{e^2}{2V} \sum_{\vec{k}, \vec{p}, \vec{q}} \sum_{\lambda_1, \lambda_2} \frac{4\pi}{q^2} \times$$

$$\times \hat{a}_{\vec{k}, \vec{q}, \lambda_1}^+ \hat{a}_{\vec{p}-\vec{q}, \lambda_2}^+ \hat{a}_{\vec{p}, \lambda_2} \hat{a}_{\vec{k}, \lambda_1}$$

Can solve with the second term as perturbation