

Quantum mechanics in 3D

Classical kinematics and dynamics

→ moving from numbers ($x, v_x, a_x, \text{etc.}$) to 3D vectors ($\vec{r}, \vec{v}, \vec{a}, \text{etc.}$)

We still break the vectors into components, and solve individually for each component

$$m\vec{a} = \sum \vec{F} \Rightarrow \begin{matrix} m a_x = \sum F_x \\ m a_y = \sum F_y \\ m a_z = \sum F_z \end{matrix} \Rightarrow \begin{matrix} x(t) \\ y(t) \\ z(t) \end{matrix}$$

We will use similar approach for quantum description

3D Schrodinger equation

$$\frac{\partial^2 \psi}{\partial x^2} \rightarrow \underbrace{\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial^2 \psi}{\partial z^2}}_{\nabla^2 \psi}$$

Math notation: 3D differentiation vector $\vec{\nabla}$ (del)

$$\vec{\nabla} = \vec{e}_x \frac{\partial}{\partial x} + \vec{e}_y \frac{\partial}{\partial y} + \vec{e}_z \frac{\partial}{\partial z}$$

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

$$\left[i\hbar \frac{\partial \psi(\vec{r}, t)}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{r}, t) + U(\vec{r}) \psi(\vec{r}, t) \right]$$

Stationary Schrodinger equation

$$-\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{r}) + U(\vec{r}) \psi(\vec{r}) = E \psi(\vec{r})$$

$$\psi(\vec{r}, t) = \psi(\vec{r}) e^{-iEt/\hbar} \quad (\text{same as in 1D case})$$

In general, we need to solve the above equation to find how the wavefunction depends on x, y, z (or another set of 3 variables (r, θ, φ) or (ρ, φ, z) , for example)

However, sometimes we can simplify the situation and separate the wavefunction in an independent pieces: if $U(\vec{r}) = U_1(x) \cdot U_2(y) \cdot U_3(z)$

Then $\psi(\vec{r}) = \psi(x, y, z) = \psi_1(x) \psi_2(y) \psi_3(z)$

$\frac{\partial^2 \psi(\vec{r})}{\partial x^2} = \psi_1'' \cdot \psi_2 \psi_3$ etc Separation of variables

$$\nabla^2 \psi = \psi_1'' \psi_2 \psi_3 + \psi_1 \psi_2'' \psi_3 + \psi_1 \psi_2 \psi_3''$$

Simplest quantum system: 3D infinite ~~square~~ ^{rectangular} box
 a particle is localized between solid walls
 at $(x=0, x=a)$; $(y=0, y=b)$; $(z=0, z=c)$

Stationary Schrodinger equation

$$-\frac{\hbar^2}{2m} \nabla^2 \psi = E \psi$$

~~$$-\frac{\hbar^2}{2m} \psi_1'' \psi_2 \psi_3 + \psi_1 \psi_2'' \psi_3 + \psi_1 \psi_2 \psi_3'' + \frac{2mE}{\hbar^2} \psi_1 \psi_2 \psi_3 = 0$$~~

divide by $\psi_1 \psi_2 \psi_3$

$$\frac{\psi_1''(x)}{\psi_1(x)} + \frac{\psi_2''(y)}{\psi_2(y)} + \frac{\psi_3''(z)}{\psi_3(z)} + \frac{2mE}{\hbar^2} = 0$$

\uparrow \uparrow \uparrow \uparrow
 $f(x)$ $f(y)$ $f(z)$ constant

This equation must be valid for any x, y, z
 so the only way to ensure this is
 to make the first three terms constant

$$\frac{\psi_1''(x)}{\psi_1(x)} = \frac{2mE_1}{\hbar^2} \quad \frac{\psi_2''(y)}{\psi_2(y)} = \frac{2mE_2}{\hbar^2} \quad \frac{\psi_3''(z)}{\psi_3(z)} = \frac{2mE_3}{\hbar^2}$$

Total energy $E = E_1 + E_2 + E_3$

(similar to $E = \frac{1}{2} m v_x^2 + \frac{1}{2} m v_y^2 + \frac{1}{2} m v_z^2$)

So instead of solving one equation with 3 variables, we now need to solve three independent equations for each variable.

$$\psi_1''(x) + k_x^2 \psi_1(x) = 0$$

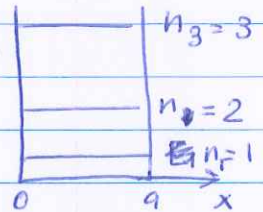
$$\psi_1(0) = \psi_1(a) = 0$$

$$\psi_1(x) = \sqrt{\frac{2}{a}} \sin \frac{\pi n_1 x}{a}$$

$$k_x = \frac{\pi n_1}{a}$$

$$k_x^2 = \frac{2mE_1}{\hbar^2}$$

$$E_{1n_1} = \frac{\pi^2 \hbar^2 n_1^2}{2ma^2}$$

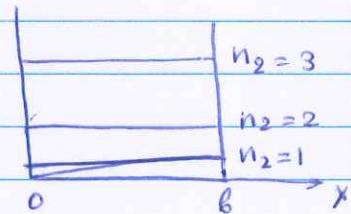


n_1 - quantization index along x

Identical process for y and z

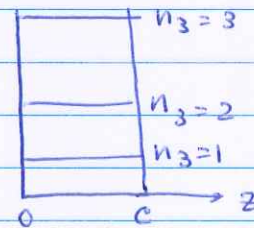
$$\psi_2(y) = \sqrt{\frac{2}{b}} \sin \frac{\pi n_2 y}{b}$$

$$E_{2n_2} = \frac{\pi^2 \hbar^2 n_2^2}{2mb^2}$$



$$\psi_3(z) = \sqrt{\frac{2}{c}} \sin \frac{\pi n_3 z}{c}$$

$$E_{3n_3} = \frac{\pi^2 \hbar^2 n_3^2}{2mc^2}$$



Now we have three quantum numbers to define one energy level

$$E = E_1 + E_2 + E_3 = \frac{\pi^2 \hbar^2}{2m} \left(\frac{n_1^2}{a^2} + \frac{n_2^2}{b^2} + \frac{n_3^2}{c^2} \right)$$

$$\psi(x, y, z) = \sqrt{\frac{8}{abc}} \sin \frac{\pi n_1 x}{a} \sin \frac{\pi n_2 y}{b} \sin \frac{\pi n_3 z}{c}$$

Ground state: $n_1 = n_2 = n_3 = 1$

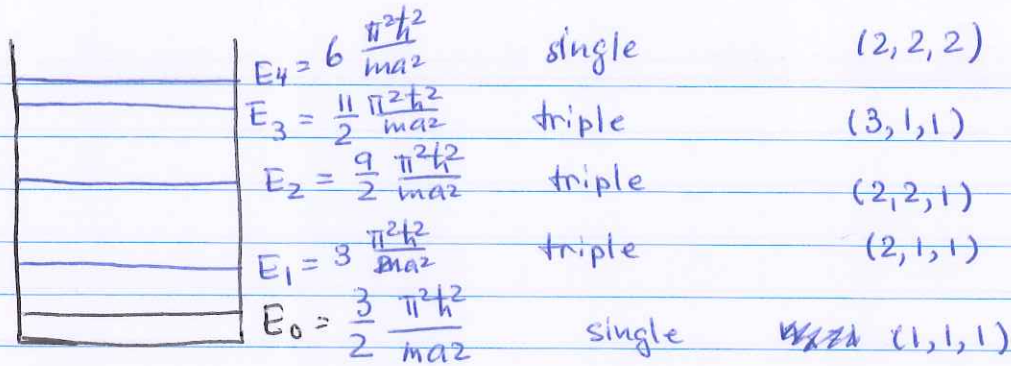
$$E_0 = \frac{\pi^2 \hbar^2}{2m} \left(\frac{1}{a^2} + \frac{1}{b^2} + \frac{1}{c^2} \right)$$

Assume that $a = b = c$ (for simplicity)

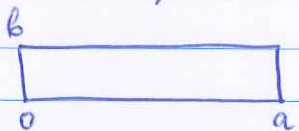
First excited state $n_1 = 2, n_2 = n_3 = 1$, or $n_1 = n_3 = 1, n_2 = 2$
or $n_1 = n_2 = 1, n_3 = 2$

$$E_1 = \frac{\pi^2 \hbar^2}{2m} \left(\frac{4}{a^2} + \frac{1}{a^2} + \frac{1}{a^2} \right) = \frac{3\pi^2 \hbar^2}{ma^2} \quad \left(E_0 = \frac{3}{2} \frac{\pi^2 \hbar^2}{ma^2} \right)$$

This state is triple-degenerate, since there are three distinct quantum states of the same energy

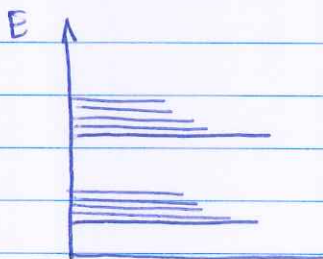


Non-symmetric well (we'll do 2D for simplicity)



$a \gg b$ $\frac{\pi^2 \hbar^2}{ma^2} \ll \frac{\pi^2 \hbar^2}{mb^2}$

$E_{n_1, n_2} = \frac{\pi^2 \hbar^2}{m} \left(\frac{n_1^2}{a^2} + \frac{n_2^2}{b^2} \right)$
 (The $\frac{n_2^2}{b^2}$ term is underlined and labeled "dominating")

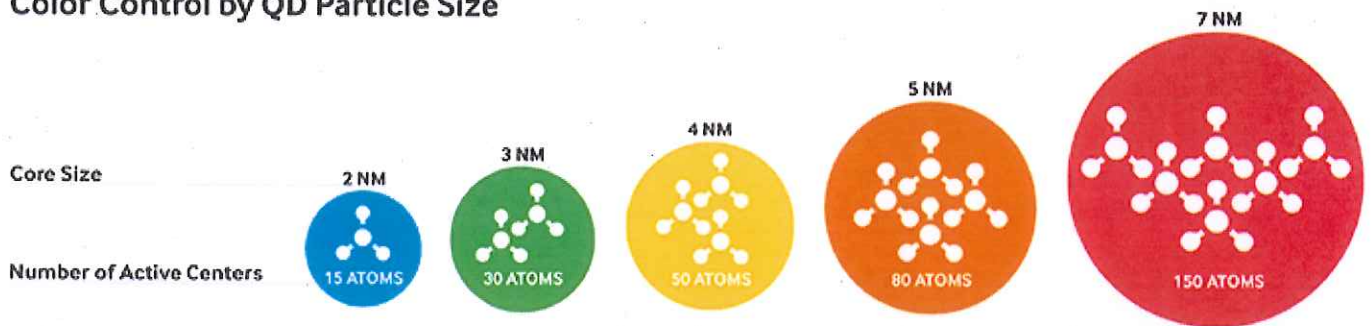


$n_2 = 2, n_1 = 1, 2, 3, \dots$

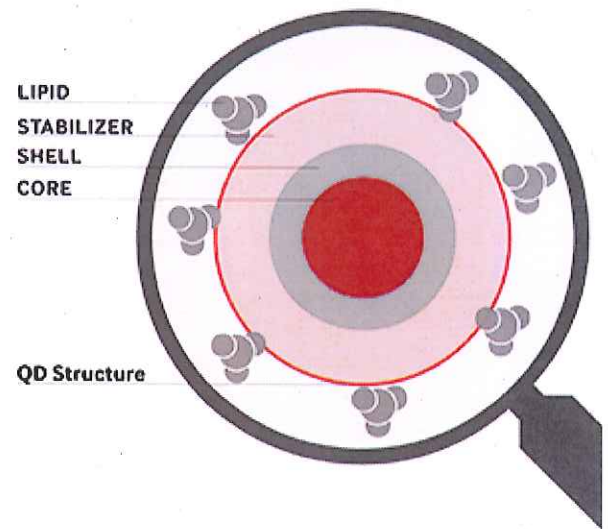
$n_2 = 1, n_1 = 1, 2, 3, \dots$

hierarchy of energy levels

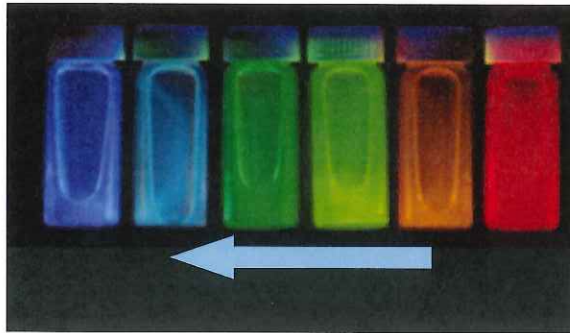
Color Control by QD Particle Size



Depending on size, quantum dots emit different color light due to quantum confinement. Illustrated is the range of QDs with emission gradually stepping from violet to red.



Quantum dot: particle in 3D box



CdSe quantum dots dispersed in hexane (Bawendi group, MIT)

Color from photon absorption

Determined by energy level **spacing**

- Energy level spacing increases as particle size decreases.

- i.e

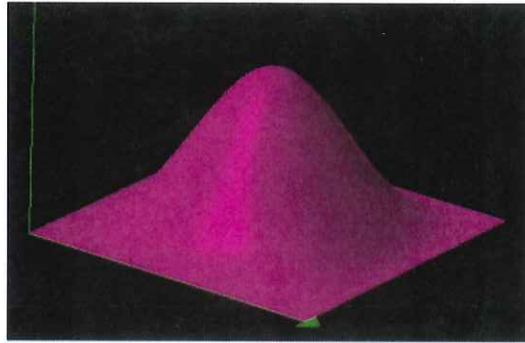
$$E_{n+1} - E_n = \frac{(n+1)^2 h^2}{8mL^2} - \frac{n^2 h^2}{8mL^2}$$

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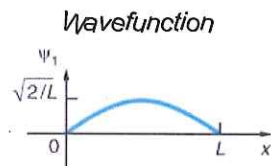
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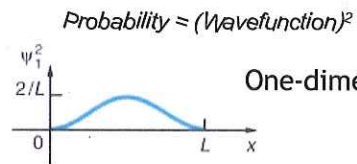
Quantum Wave Functions



Ground state: same waveleng
(longest) in both x and y
Need two quantum #'s,
one for x -motion
one for y -motion
Use a pair (n_x, n_y)
Ground state: $(1,1)$



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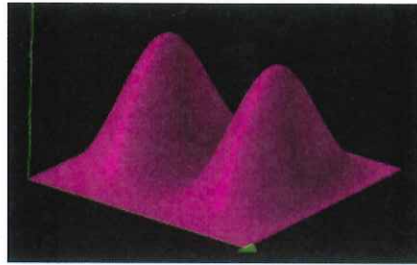
One-dimensional (1D) case

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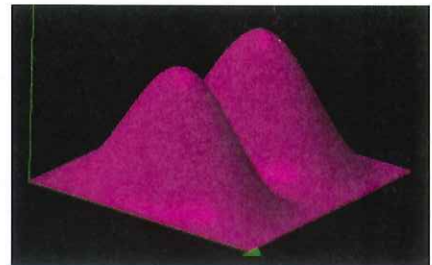
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2D excited states



$$(n_x, n_y) = (2, 1)$$



$$(n_x, n_y) = (1, 2)$$

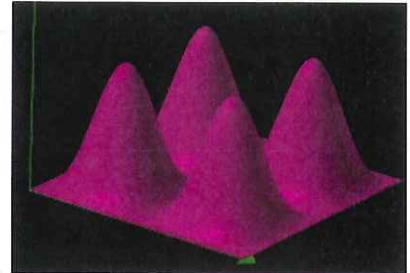
These have exactly the same energy, but the probabilities look different.

The different states correspond to ball bouncing in x or in y direction.

Particle in a box

What quantum state could this be?

- A. $n_x=2, n_y=2$
- B. $n_x=3, n_y=2$
- C. $n_x=1, n_y=2$



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