

## The Euler Angles

The 9 direction cosines provide an overcomplete description of the orientation of a rigid body.

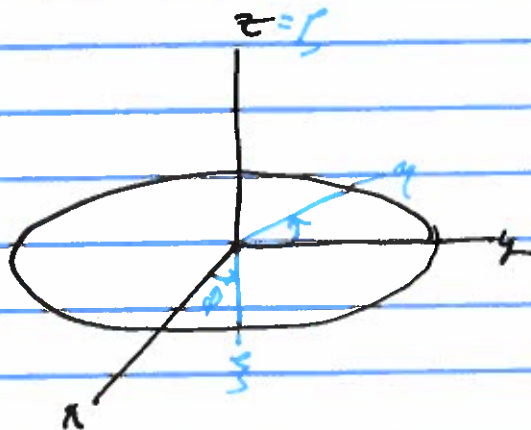
There are 6 relations between the direction cosines.

In order to define a Lagrangian for the dynamics of a rigid body, we need a set of only 3 generalized coordinates describing the body orientation, in addition to the 3 coordinates describing its location, for example the position of the center of mass.

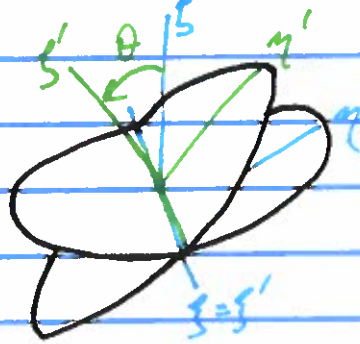
The Euler angles are one such set of generalized coordinates for the orientation of the body  $q \times q$ .

They are described by considering three successive rotations from a fixed initial set of axes. There are various conventions.

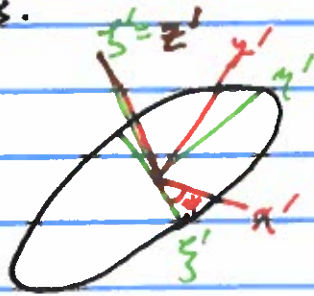
X-convention:  $\downarrow$  Rotate by angle  $\phi$  (counterclockwise) about z-axis.



2) Call the rotated  $x$ -axis  $\xi$ . Rotate by  $\theta$  about the  $\xi$ -axis.



3) Call the rotated  $z$ -axis  $\zeta'$ . Rotate by  $\psi$  about the  $\xi'$  axis.

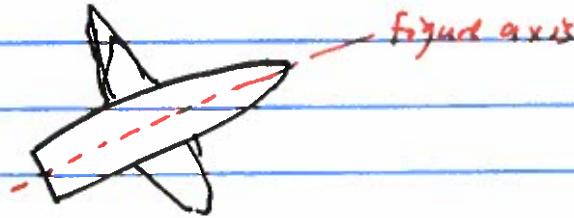


The angles  $\phi$ ,  $\theta$ ,  $\psi$  parametrize the orientations of the coordinate axes  $x'$ ,  $y'$ ,  $z'$ . These angles are the Euler angles in the  $x$ -convention, so-called because the second rotation is about the rotated  $x$ -axis.

$y$ -convention: The second rotation is about the rotated  $y$ -axis. Otherwise the sequence of rotations is the same.

Tait-Bryan angles: Used for describing orientation of aircraft, and other flying vehicles.

- 1) Rotate about vertical axis - gives heading or yaw angle.
- 2) Rotate about axis perpendicular to figure axis - gives pitch or attitude angle.
- 3) Rotate about the figure axis - gives roll or bank angle.



We will work out the transformation matrix in the  $x$ -convention.

The net transformation is described by a product of the three transformation matrices for each of the three steps in the sequence.

Rotation by  $\phi$  about  $z$ -axis:  $\vec{\xi} = D\vec{x}$ ,

$$D = \begin{bmatrix} \cos\phi & \sin\phi & 0 \\ -\sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Rotation by  $\theta$  about  $\xi$ -axis:  $\vec{\xi}' = C\vec{\xi}$

$$C = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & \sin\theta \\ 0 & -\sin\theta & \cos\theta \end{bmatrix}$$

Rotation by  $\psi$  about  $S'$ :  $\vec{x}' = B\vec{x}$

$$B = \begin{bmatrix} \cos\psi & \sin\psi & 0 \\ -\sin\psi & \cos\psi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Net transformation:  $\vec{x}' = A\vec{x}$ ,  $A = BCD$

Body frame  $\uparrow$   $\uparrow$  space frame.

Exercise:

$$A = \begin{bmatrix} \cos\psi \cos\phi - \cos\theta \sin\phi \sin\psi & \cos\psi \sin\phi + \cos\theta \cos\phi \sin\psi & \sin\psi \sin\theta \\ -\sin\psi \cos\phi - \cos\theta \sin\phi \cos\psi & -\sin\psi \sin\phi + \cos\theta \cos\phi \cos\psi & \cos\psi \sin\theta \\ \sin\theta \sin\phi & -\sin\theta \cos\phi & \cos\theta \end{bmatrix}$$

To transform back from body coordinates to space axes,  
use  $\vec{x} = A^{-1}\vec{x}'$ , with  $A^{-1} = A^T$ .

Other parametrizations are also possible.

In terms of Euler parameters (not angles)  $e_0, e_1, e_2, e_3$ , with  $e_0^2 + e_1^2 + e_2^2 + e_3^2 = 1$ , the transformation matrix  $A$  can be written,

$$A = \begin{bmatrix} e_0^2 + e_1^2 - e_2^2 - e_3^2 & 2(e_1 e_2 + e_0 e_3) & 2(e_1 e_3 - e_0 e_2) \\ 2(e_1 e_2 - e_0 e_3) & e_0^2 - e_1^2 + e_2^2 - e_3^2 & 2(e_2 e_3 + e_0 e_1) \\ 2(e_1 e_3 + e_0 e_2) & 2(e_2 e_3 - e_0 e_1) & e_0^2 - e_1^2 - e_2^2 + e_3^2 \end{bmatrix}$$

These are sometimes written in terms of the Cayley-Klein parameters  $\alpha = e_0 + i e_1$ ,  $\beta = e_2 + i e_3$ .

### Euler's Theorem on Rigid Body Motion

Suppose  $A(t)$  describes the orientation of body axes, with  $A(0) = \mathbb{1}$ , as a function of time  $t$ .

Euler's Theorem states that:

The general displacement of a rigid body with one point fixed is a rotation about some axis.

In other words,  $A$  represents a rotation.

Proof: Rotations have two defining characteristics: Any vector along the axis of rotation is unaffected; and the magnitude of vectors is unchanged.

Vector magnitudes are unchanged by orthogonal transformations:

Suppose  $\vec{x}' = A\vec{x}$ .

$$\begin{aligned} |\vec{x}'|^2 &= |A\vec{x}|^2 = (A\vec{x})^T (A\vec{x}) \\ &= \vec{x}^T A^T A \vec{x} \\ &= \vec{x}^T \mathbf{1} \vec{x} \\ &= |\vec{x}|^2. \end{aligned}$$

Aside: we wrote  $(A\vec{x})^T = \vec{x}^T A^T$ . We can understand this by writing components:

$$\begin{aligned} (A\vec{x})^T_i &\equiv (A\vec{x})_i = A_{ij} \vec{x}_j = x_j A_{ij} = (x^T)_j (A^T)_{ji} \\ &= (x^T A^T)_i \end{aligned}$$

↑ row vector                  ↑ column vector

To prove Euler's theorem we need to show that  $\exists$  a vector  $\vec{R}'$  such that  $\vec{R}' = A\vec{R} = \vec{R}$ .

This is of the form of an eigenvalue equation,  $A\vec{R} = \lambda \vec{R}$ , with eigenvalue  $\lambda = 1$ .

Hence, Euler's theorem  $\Leftrightarrow$  the orthogonal matrix  $A$  has an eigenvalue  $+1$ .

Writing the eigenvalue equation as  $(A - \lambda \mathbb{1})\vec{r} = 0$ , this is a homogeneous equation for the components of  $\vec{r}$ .  $\vec{r} = \vec{0}$  is always a solution.

There are only nontrivial solutions for  $\lambda$  satisfying

$$\boxed{\det(A - \lambda \mathbb{1}) = 0.}$$

This is the characteristic eqn, or secular eqn., for the matrix  $A$ . The solutions for  $\lambda$  are the eigenvalues of  $A$ .

We will use orthogonality of  $A$ , namely  $AA^T = A^T A = \mathbb{1}$ , and the assumption that  $A$  describes a proper transformation (because the body is rigid), so  $\det A = +1 = \det A^T$ .

$$\text{Consider } (A - \mathbb{1})A^T = \mathbb{1} - A^T$$

$$\det(A - \mathbb{1}) \underbrace{\det A^T}_1 = \det(\mathbb{1} - A^T) = \det[-(A - \mathbb{1})]$$

$$\Rightarrow \det(A - \mathbb{1}) = \det[-(A - \mathbb{1})]$$

For  $n \times n$  matrices,  $\det(-M) = (-1)^n \det M$ .

For the  $3 \times 3$  matrix  $A - \mathbb{1}$ ,  $\det[-(A - \mathbb{1})] = -\det(A - \mathbb{1})$

Hence we have  $\det(A - \mathbb{1}) = -\det(A - \mathbb{1})$ .

Therefore  $\det(A - \mathbb{1}) = 0$ .

$\Rightarrow \lambda = 1$  is an eigenvalue of  $A$ .  $\square$

What about the other eigenvalues of  $A$ ?

The matrix  $A$  can be diagonalized by a similarity transformation.

Suppose  $\vec{x}_1, \vec{x}_2, \vec{x}_3$  are the eigenvectors of  $A$ , and  $\lambda_1, \lambda_2, \lambda_3$  the corresponding eigenvalues.

We can think of  $(\vec{x}_i)_j$  as the components of a matrix  $X_{ij}$ .

$$\begin{aligned} \text{The eigenvalue equation is } \sum_j a_{ij} X_{jk} &= \sum_j X_{ij} \delta_{jk} \lambda_k \\ &= \sum_j X_{ij} \lambda_{jk} \end{aligned}$$

$$\text{where the matrix } \underline{\lambda} \text{ is } \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}.$$

In other words,  $AX = X\underline{\lambda}$ .

$$\text{Multiply by } X^{-1}: \boxed{X^{-1}AX = \underline{\lambda}}.$$

This is a similarity transformation.

$$\det(X^{-1}AX) = \det A = \det \underline{\lambda} = \lambda_1 \lambda_2 \lambda_3.$$

One of the eigenvalues, say  $\lambda_1 = 1$ .

Have,  $\lambda_2 \lambda_3 = \det A = 1$ . (proper orthogonal transformation)



$A$  is a real matrix  $\rightarrow$  If  $\lambda$  is an eigenvalue, so is  $\lambda^*$ .

$$\Rightarrow \lambda\lambda^* = 1 \rightarrow \lambda_2 = e^{i\Phi}, \lambda_3 = e^{-i\Phi} \text{ for some } \Phi.$$

Axis of rotation: Set  $\lambda=1$ , solve for  $\vec{R}$  s.t.  $A\vec{R} = \vec{R}$ .

By a similarity transformation, transform  $A$  s.t.

$$A' = S^{-1}AS = \begin{pmatrix} \cos\Phi & \sin\Phi & 0 \\ -\sin\Phi & \cos\Phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$A'(S\vec{R}) = (S^{-1}AS)(S^{-1}\vec{R}) = S^{-1}(A\vec{R}) = S^{-1}\vec{R} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\begin{aligned} \text{Tr } A' &= \text{Tr } A = 1 + 2\cos\Phi = 1 + e^{i\Phi} + e^{-i\Phi} \\ &= \lambda_1 + \lambda_2 + \lambda_3 \end{aligned}$$

The angle  $\Phi$  in  $A'$  is the phase angle of the eigenvalues (up to a sign).

Hence, we have determined the axis of rotation and the angle of rotation corresponding to the orthogonal transformation  $A$ .

Generalization - Chasles' Theorem: The most general displacement of a rigid body is a translation plus a rotation.