

## Dirac eqn (cont)

Hamiltonian form of the Dirac eqn

$$i\hbar \frac{\partial \psi}{\partial t} = \hat{H} \psi \quad (i\hbar \frac{\partial \psi}{\partial t} = \hat{H} \psi)$$

$$\hat{H} = \vec{\alpha} \vec{p} + \beta m = (-i\hbar c \nabla + mc^2 \beta)$$

Covariant form of the Dirac eqn

$$(i\gamma^\mu \partial_\mu - m) \psi = 0$$

$$\vec{\alpha} = \begin{pmatrix} 0 & \vec{\sigma} \\ \vec{\sigma} & 0 \end{pmatrix} \quad \beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\alpha_i = \gamma^0 \gamma^i \quad \beta = \gamma^0$$

Free-particle plane-wave solution

$$\hat{H} = \begin{pmatrix} m & -i\vec{\sigma} \cdot \vec{p} \\ -i\vec{\sigma} \cdot \vec{p} & -m \end{pmatrix} \quad \psi = \begin{pmatrix} u \\ v \end{pmatrix} e^{i\vec{p}\cdot\vec{x} - iEt}$$

$u, v$  - 2-component spinors

$$(E-m)u = \vec{\sigma} \cdot \vec{p} v$$

$$(E+m)v = \vec{\sigma} \cdot \vec{p} u$$

If  $u_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$   $v_1 = \frac{1}{E+m} \begin{pmatrix} p_z \\ p_x + ip_y \end{pmatrix}$   $E > 0$

$u_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$   $v_2 = \frac{1}{E+m} \begin{pmatrix} p_x - ip_y \\ -p_z \end{pmatrix}$  two independent solutions  $p \rightarrow 0$

If  $v_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$   $u_1 = \frac{1}{E-m} \begin{pmatrix} p_z \\ p_x + ip_y \end{pmatrix}$   $E < 0$

$v_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$   $u_2 = \frac{1}{E-m} \begin{pmatrix} p_x - ip_y \\ -p_z \end{pmatrix}$  two independent solutions  $p \rightarrow 0$

If  $E_p = \sqrt{E^2 - m^2} > 0$

$E > 0$      $\Psi_{I,II} \propto \sqrt{\frac{m}{E_p}} a_{1,2} e^{i\vec{p}\vec{x} - iE_p t}$

$E < 0$      $\Psi_{III,IV} \propto \sqrt{\frac{m}{E_p}} a_{3,4} e^{i\vec{p}\vec{x} + iE_p t}$

$a_{1,2} = \sqrt{\frac{E_p + m}{2m}} \begin{pmatrix} \chi_{\pm} \\ \frac{\vec{\sigma}\vec{p}}{E_p + m} \chi_{\pm} \end{pmatrix} \quad \chi_+ = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$a_{3,4} = \sqrt{\frac{E_p + m}{2m}} \begin{pmatrix} -\frac{\vec{\sigma}\vec{p}}{E_p + m} \chi_{\pm} \\ \chi_{\pm} \end{pmatrix} \quad \chi_- = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$\{\Psi_I\}$  functions are mutually orthogonal

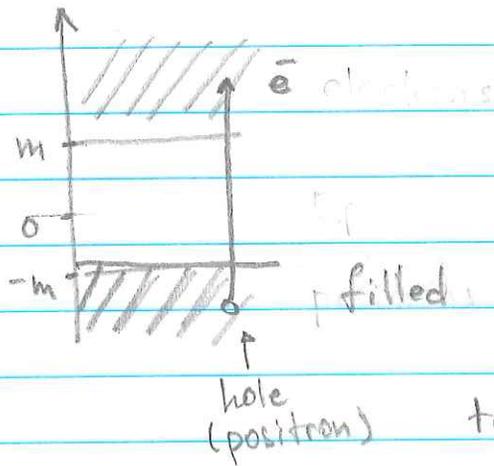
Interpretation:  $\Psi_{I,II}$  describes electrons with positive energies and spin  $\pm 1/2$   
 $\Psi_{III,IV}$  describes "electron" with negative energy (mass) and spin  $\pm 1/2$

For  $p=0$

$\Psi_I = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} e^{-imt} \quad \Psi_{II} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{-imt} \quad E > 0$

$\Psi_{III} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} e^{imt} \quad \Psi_{IV} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} e^{imt} \quad E < 0$

Why we don't see negative mass particles (anti-particles)?



All states with  $m < 0$  are completely filled  $\rightarrow$  no way for a  $m > 0$   $\bar{e}$  to "spontaneously" transition and disappear.

However, it may be possible to excite an electron into the positive energy state (with a high-energy photon), leaving a "hole" behind  $\rightarrow$  positron; same as electron but with negative mass and opposite charge. If an  $\bar{e}$  and the hole ( $\bar{p}$ ) meet, they are annihilated.

## Electron spin

Interaction with E-M field

$$p^\mu \rightarrow \pi^\mu = p^\mu - eA^\mu$$
$$\hat{H}_{EM} = \vec{\alpha} \cdot \vec{\pi} + \beta m$$

Spin in non-relativistic limit  $\vec{\mu} = g_s \mu_B \vec{S}$   
electron magnetic moment

Non-relativistic limit of Dirac eqn

$$E \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} m & \bar{\delta} \vec{\pi} \\ +\bar{\delta} \vec{\pi} & -m \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} m & \bar{\delta} \vec{\pi} \\ \bar{\delta} \vec{\pi} & -m \end{pmatrix} \begin{pmatrix} u \\ \frac{\bar{\delta} \vec{\pi}}{E+m} u \end{pmatrix}$$

$$Eu = mu + \frac{(\bar{\delta} \vec{\pi})(\bar{\delta} \vec{\pi})}{E+m} u$$

$v \ll c$  ↓

$$Ku + \cancel{mv} = \cancel{mv} + \frac{(\bar{\delta} \vec{\pi})(\bar{\delta} \vec{\pi})}{2m} u$$

$$\{(\bar{\delta} \vec{a})(\bar{\delta} \cdot \vec{b}) = \vec{a} \cdot \vec{b} + i\bar{\delta}(\vec{a} \times \vec{b})\}$$

$$Ku = \frac{\pi^2}{2m} u + i\bar{\delta}(\vec{\pi} \times \vec{\pi})u$$

$$\vec{\pi} \times \vec{\pi} u = (i\nabla + e\vec{A}) \times (i\nabla u + e\vec{A}u) = \cancel{\nabla \times u} +$$
$$+ i\nabla \times (e\vec{A}u) + ie\vec{A} \times \nabla u + e^2 \vec{A} \times \vec{A} u =$$
$$= ie(\nabla \times \vec{A})u = ie\vec{B} u$$

$$Ku = \frac{\pi^2}{2m} u - \frac{1}{2m} e\bar{\delta} \vec{B} \cdot u = \frac{\pi^2}{2m} u - \vec{\mu} \vec{B} \cdot u$$

↑  
This is what we call energy in  
the non-relativistic limit

where  $\vec{\mu} = \frac{e}{2m} \vec{\sigma} = 2 \cdot \frac{e}{2m} \left(\frac{1}{2} \vec{\sigma}\right) = \frac{e}{m} \vec{S} = g_s \mu_B \vec{S}$   
 $g_s = 2$

Alternatively for  $v/c \ll 1$   $H_D \approx m + H_{NR}$   
 $H_D^2 \approx m^2 + 2mH_{NR}$

$$\hat{H}_D = \vec{\alpha} \vec{\pi} + \beta m$$

$$\hat{H}_D^2 = (\vec{\alpha} \vec{\pi} + \beta m)^2 = (\vec{\alpha} \vec{\pi}) (\vec{\alpha} \vec{\pi}) + m^2$$

$$\hat{H}_D^2 - m^2 = \begin{pmatrix} 0 & \vec{\alpha} \vec{\pi} \\ \vec{\alpha} \vec{\pi} & 0 \end{pmatrix} \begin{pmatrix} 0 & \vec{\alpha} \vec{\pi} \\ \vec{\alpha} \vec{\pi} & 0 \end{pmatrix} = \begin{pmatrix} (\vec{\alpha} \vec{\pi})^2 & 0 \\ 0 & (\vec{\alpha} \vec{\pi})^2 \end{pmatrix} =$$

$$= \begin{pmatrix} \pi^2 - e \vec{\alpha} \vec{B} & 0 \\ 0 & \pi^2 - e \vec{\alpha} \vec{B} \end{pmatrix} = \pi^2 \hat{1} - e \vec{B} \vec{\Sigma}$$

where  $\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$  is a spin matrix

$$\vec{S} = \frac{1}{2} \vec{\Sigma} = \left( \frac{\hbar}{2} \vec{\Sigma} \right)$$

$$\hat{H}_{NR} = \frac{\pi^2}{2m} - \frac{e}{2m} \vec{B} \cdot 2 \left( \frac{1}{2} \vec{\Sigma} \right) = \frac{\pi^2}{2m} - \vec{\mu} \vec{B}$$

$$\vec{\mu} = 2 \cdot \frac{e}{2m} \cdot \vec{S} = g_s \mu_B \vec{S}$$

## Symmetries of the Dirac Equation

Free particle  $H_D = \vec{\alpha} \cdot \vec{p} + \beta m$

+ EM radiation  $H_D = \vec{\alpha} (\vec{p} - e\vec{A}) + \beta m + e\Phi$   
for  $\vec{A} = 0$

can generalize for any interactions  $H_D = \vec{\alpha} \vec{p} + \beta m + V_{\text{electric}}$

$H_D = \vec{\alpha} \vec{p} + \beta m + V(\vec{r})$  (no longer covariant)

1. Angular momentum  $\vec{L} = \vec{r} \times \vec{p}$

$$[H_D, L_i] = [\vec{\alpha} \cdot \vec{p}, L_i] = [\alpha_k p_k, \epsilon_{ijk} x_j p_k] =$$

$$= \epsilon_{ijk} \alpha_k [p_k, x_j] p_k \stackrel{l=j}{=} -i \epsilon_{ijk} \alpha_j p_k = -i [\vec{\alpha} \times \vec{p}]_i \neq 0$$

$\vec{L}$  and  $H_D$  do not commute  $\implies$  no angular momentum conservation

$\vec{S}$  does not commute with  $\hat{H}$  either!

$$[\beta, \vec{\Sigma}] = 0 \quad \text{but} \quad [\vec{\alpha} \cdot \vec{p}, \Sigma_i] = [\alpha_k, \Sigma_i] p_k =$$

$$= 2i \epsilon_{kij} \alpha_j p_k = 2i [\vec{\alpha} \times \vec{p}]_i \neq 0$$

Total angular momentum  $\vec{J} = \vec{L} + \frac{1}{2} \vec{\Sigma}$

$[H_D, \vec{J}] = 0$  total angular momentum is conserved