

Non-degenerate perturbation theory

$$\hat{H}^{(0)} \Psi_n^{(0)} = E_n^{(0)} \Psi_n^{(0)}$$

$$\hat{H} = \hat{H}^{(0)} + \hat{H}'$$

$$V_{nm} = \langle \Psi_n^{(0)} | \hat{H}' | \Psi_m^{(0)} \rangle$$

| Order | Energy | Wave Function |
|-------|--|--|
| 1 | $E_n^{(1)} = V_{nn}$ | $\Psi_n^{(1)} = \sum_{m \neq n} \frac{V_{mn}}{E_n^{(0)} - E_m^{(0)}} \Psi_m^{(0)}$ |
| 2 | $E_n^{(2)} = \sum_{m \neq n} \frac{ V_{mn} ^2}{E_n^{(0)} - E_m^{(0)}}$ | ... |

Let's look at a two-level system:

$$\begin{matrix} E_1^{(0)}, \Psi_1^{(0)} \\ E_2^{(0)}, \Psi_2^{(0)} \end{matrix}$$

$$H_{nm}^{(0)} = \langle \Psi_m^{(0)} | \hat{H}^{(0)} | \Psi_n^{(0)} \rangle = E_n^{(0)} \delta_{mn}$$

$$\hat{H}^{(0)} = \begin{pmatrix} E_1^{(0)} & 0 \\ 0 & E_2^{(0)} \end{pmatrix} \text{ diagonal in the eigenbasis}$$

Similarly, $\hat{H}' = \begin{pmatrix} V_{11} & V_{12} \\ V_{21} & V_{22} \end{pmatrix}$

If \hat{H}' is diagonal: $V_{12} = V_{21} = 0$ $\hat{H}' = \begin{pmatrix} V_{11} & 0 \\ 0 & V_{22} \end{pmatrix}$

Then: $E_{1,2}^{(1)} = V_{11}$ or V_{22} $\Psi_n^{(1)} = 0$

Wavefunctions do not change in the first order!
 See if \hat{H}' is diagonal $\leftrightarrow \Psi_{1,2}$ are its eigenfunctions!

$$\hat{H}' \Psi_1 = V_{11} \Psi_1$$

$$\hat{H}' \Psi_2 = V_{22} \Psi_2$$

So if in general if $\{\Psi_n^{(0)}\}$ are the eigenfunctions of both $\hat{H}^{(0)}$ and \hat{H}' , then only energy states are shifted

$$E_n = E_n^{(0)} + V_{nn}^{(0)}$$

But what if $V_{11} = V_{22} = 0$ $V_{12} = V_{21}^*$

$$\hat{H}' = \begin{pmatrix} 0 & V_{12} \\ V_{21} & 0 \end{pmatrix} \quad \text{Sketch}$$

First-order correction to the wave function

$$c_{22} = \frac{V_{21}}{E_1^{(0)} - E_2^{(0)}} \quad \Psi_1 = \Psi_1^{(0)} + \frac{V_{21}}{E_1^{(0)} - E_2^{(0)}} \Psi_2^{(0)}$$

$$E_{1,2}^{(2)} = |V_{21}|^2 / (E_{1,2}^{(0)} - E_{2,1}^{(0)}) \quad \text{quadratic correction}$$

With an off-diagonal perturbation the states get mixed.

But let's solve the two-level system exactly:

$$\hat{H} = \begin{pmatrix} E_1^{(0)} & V_{12} \\ V_{21} & E_2^{(0)} \end{pmatrix} \quad \hat{H}\Psi_n = E_n\Psi_n \Rightarrow (\hat{H} - E_n)\Psi_n = 0$$

$$\det \begin{vmatrix} E_1^{(0)} - E & V_{12} \\ V_{21} & E_2^{(0)} - E \end{vmatrix} = 0$$

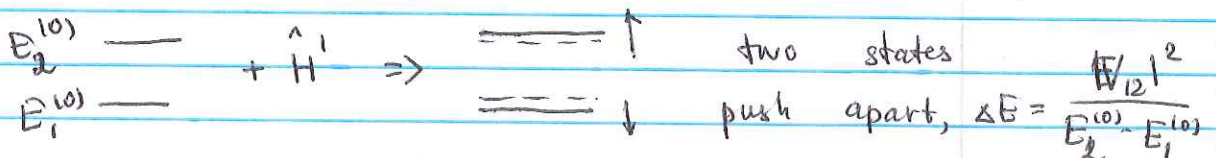
$$E_{1,2} = \frac{E_1^{(0)} + E_2^{(0)}}{2} \pm \sqrt{\frac{(E_1^{(0)} - E_2^{(0)})^2}{4} + |V_{12}|^2}$$

a) $|E_1^{(0)} - E_2^{(0)}| \gg |V_{12}|$ (perturbation limit)

$$\sqrt{\frac{(E_1^{(0)} - E_2^{(0)})^2}{4} + |V_{12}|^2} \approx \frac{E_1^{(0)} - E_2^{(0)}}{2} \sqrt{1 + \frac{4|V_{12}|^2}{(E_1^{(0)} - E_2^{(0)})^2}} \approx \frac{(E_1^{(0)} - E_2^{(0)})}{2} \left(1 \pm \frac{1}{2} \frac{4|V_{12}|^2}{(E_1^{(0)} - E_2^{(0)})^2} \dots \right)$$

$$E_1 \approx E_1^{(0)} + \frac{|V_{12}|^2}{E_1^{(0)} - E_2^{(0)}} = (\text{if } E_2^{(0)} > E_1^{(0)}) = E_1^{(0)} - \frac{|V_{12}|^2}{E_2^{(0)} - E_1^{(0)}}$$

$$E_2 \approx E_2^{(0)} - \frac{|V_{12}|^2}{E_1^{(0)} - E_2^{(0)}} = E_2^{(0)} + \frac{|V_{12}|^2}{E_2^{(0)} - E_1^{(0)}}$$



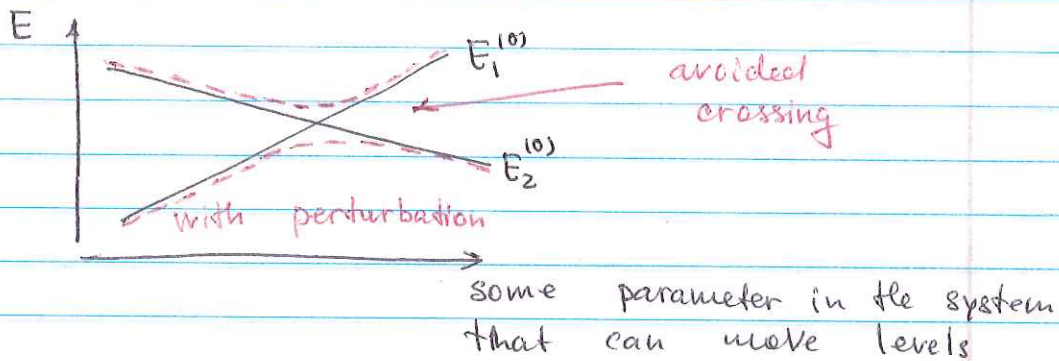
But what if $E_1^{(0)} = E_2^{(0)} = E^{(0)} \Rightarrow E_1^{(0)} - E_2^{(0)} = 0$

$$E_{1,2} = E^{(0)} \pm |V_{12}|$$

$$E_0 \begin{matrix} | \psi_1 \rangle \\ | \psi_2 \rangle \end{matrix} + \hat{H}' \Rightarrow \begin{matrix} \text{---} + |V_{12}| \\ \text{---} \\ \text{---} - |V_{12}| \end{matrix}$$

linear shift

Perturbation makes level "repel" each other



So how can we obtain this result using the perturbation theory?

Let's consider a degenerate two-level system: $E^{(0)}, \{ \psi_1^{(0)}, \psi_2^{(0)} \}$

(For simplicity let's say $E^{(0)} = E_0$)

Note that any normalized superposition of $\psi_1^{(0)}$ and $\psi_2^{(0)}$ is also an eigenfunction of $\hat{H}^{(0)}$! So in case of a degenerate system we are free to choose the exact two state we can use.

So we will use them such that the perturbation Hamiltonian is diagonal in this basis; then calculating the level shifts is easy!

$$\hat{V} = \begin{pmatrix} V_{11} & V_{12} \\ V_{21} & V_{22} \end{pmatrix}$$

$\psi_1^{(0)}, \psi_2^{(0)}$

$$\begin{aligned} \tilde{\psi}_1^{(0)} &= \alpha_1 \psi_1^{(0)} + \beta_1 \psi_2^{(0)} \\ \tilde{\psi}_2^{(0)} &= \alpha_2 \psi_2^{(0)} + \beta_2 \psi_1^{(0)} \end{aligned}$$

$$\hat{V} = \begin{pmatrix} \tilde{V}_{11} & 0 \\ 0 & \tilde{V}_{22} \end{pmatrix}$$

shift for $\tilde{\psi}_1^{(0)}$
shift for $\tilde{\psi}_2^{(0)}$

So the general steps to calculate the energy ~~state~~ level corrections for degenerate case

1. Identify the subset of degenerate eigenstates of $\hat{H}^{(0)} : \psi_1^{(0)}, \dots, \psi_N^{(0)}$ for N -degenerate state

2. Find the N linear combinations of the original states that are also eigenvalues

of $\hat{H}^1 : \tilde{\psi} = c_1 \psi_1^{(0)} + c_2 \psi_2^{(0)} + \dots + c_N \psi_N^{(0)}$
 $\hat{H}^1 \tilde{\psi} = \lambda \tilde{\psi} \rightarrow$ eigenvalues give the diagonal matrix elements, and eigenfunctions - the new basis

$\tilde{\psi}_1^{(0)}, \dots, \tilde{\psi}_n^{(0)}$. These functions are simultaneously the eigenfunctions of both \hat{H}_0 and \hat{H}^1

$$\hat{H}_0 \tilde{\psi}_i^{(0)} = E_0 \tilde{\psi}_i^{(0)}$$

$$\hat{H}_0 = \begin{pmatrix} E_0 & & 0 \\ & E_0 & \\ 0 & & E_0 \end{pmatrix}$$

$$\hat{V} = \begin{pmatrix} V_{11} & & \\ & V_{22} & \\ & & \dots & V_{NN} \end{pmatrix}$$

Thus, each new state $\tilde{\psi}_i$ is shifted by V_{ii}

Let's come back to the original two-level system with

$$\hat{V} = \begin{pmatrix} 0 & V_{12} \\ V_{21} & 0 \end{pmatrix} \quad \Psi_1^{(0)}, \Psi_2^{(0)} \text{ - original states}$$

(I'll skip (0) for now)

$$\tilde{\Psi} = \alpha \Psi_1 + \beta \Psi_2$$

$$\hat{V} \tilde{\Psi} = \lambda \tilde{\Psi} \quad \begin{pmatrix} -\lambda & V_{12} \\ V_{12} & -\lambda \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = 0$$

$$\det \begin{vmatrix} -\lambda & V_{12} \\ V_{12} & -\lambda \end{vmatrix} = 0 \quad \lambda_{1,2} = \pm |V_{12}|$$

For simplicity, let's assume that V_{12} is real and positive

$$\lambda_1 = V_{12}: \begin{pmatrix} -V_{12} & V_{12} \\ V_{12} & -V_{12} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \beta_1 \end{pmatrix} = 0 \quad \begin{matrix} \alpha_1 = \beta_1 \\ \tilde{\Psi}_1 = \frac{1}{\sqrt{2}} \Psi_1 + \frac{1}{\sqrt{2}} \Psi_2 \end{matrix}$$

$$\lambda_2 = -V_{12}: \begin{pmatrix} +V_{12} & V_{12} \\ V_{12} & V_{12} \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \beta_2 \end{pmatrix} = 0 \quad \begin{matrix} \alpha_2 = -\beta_2 \\ \tilde{\Psi}_2 = \frac{1}{\sqrt{2}} \Psi_1 - \frac{1}{\sqrt{2}} \Psi_2 \end{matrix}$$

State $\tilde{\Psi}_1 = \frac{1}{\sqrt{2}}(\Psi_1 + \Psi_2)$ is shifted up $E^{(1)} = V_{12}$

State $\tilde{\Psi}_2 = \frac{1}{\sqrt{2}}(\Psi_1 - \Psi_2) \rightarrow$ down $E^{(2)} = -V_{12}$

Sometimes we can find the right basis using physics, rather than math: if we can identify a hermitian operator, that commutes both with $\hat{H}^{(0)}$ and \hat{H}^i , then \hat{H}^i will be diagonal in the basis of the eigenfunctions of \hat{A} :